

Prague Medical REPORT

(Sborník lékařský)

Multidisciplinary Biomedical Journal
of the First Faculty of Medicine,
Charles University

Vol. 121 (2020) No. 1

Reviews

Buspirone-associated Movement Disorder:
A Literature Review / *Rissardo J. P., Caprara A. L. F.* page 5

Primary Scientific Studies

Colonoscopic Finding of Patients with Lower
Gastrointestinal Bleeding at Different Age Group
in Eastern Part of India – An Observational Study
/ *Paul J.* page 25

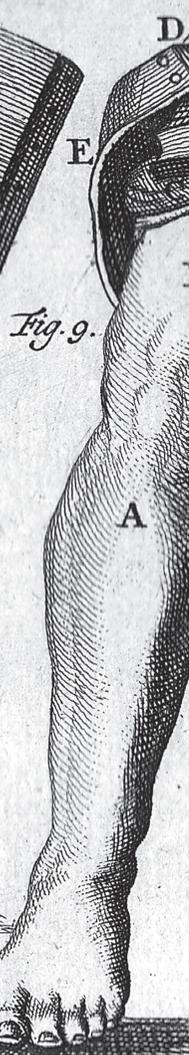
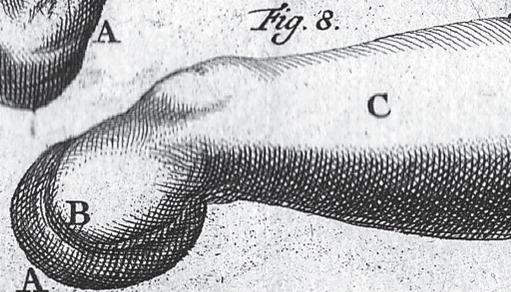
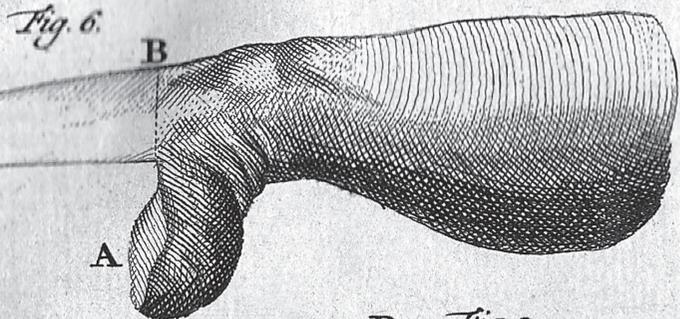
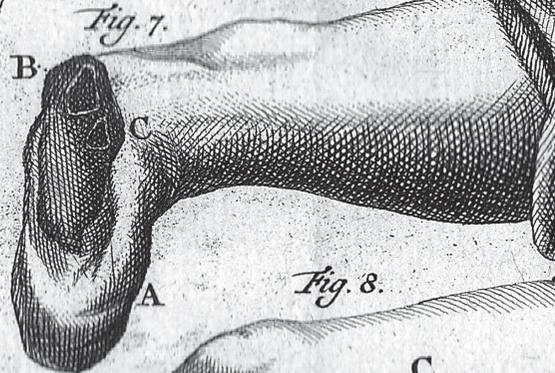
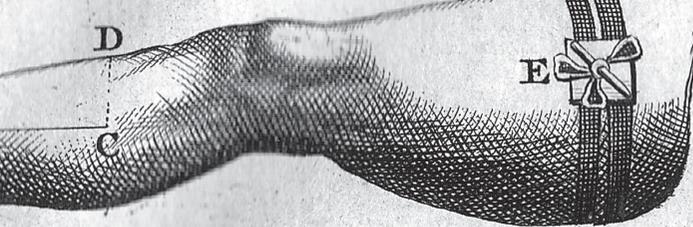
The Prevalence of Absolute and Functional Iron
Deficiency Anemia in New Cases of Smear-positive
Pulmonary Tuberculosis and Their Sputum Conversion Rate
at the End of Intensive Tuberculosis Treatment Phase
/ *Metanat M., Mashhadi M. A., Alavi-Naini R.,
Rezaie-Kahkhaie L., Sepehri-Rad N., Afshari M.* page 35

Case Reports

Acute Massive Pulmonary Embolism with Direct Visualization
of a Free-floating Right Heart Thrombus Successfully
Treated with Fibrinolysis: A Case Report / *Kaitalidou E.,
Karapiperis D., Makrakis V., Kipourou M., Petroglou D.* page 42

Spinal Cord Tethering, a Very Rare Cause
of Cauda Equina Syndrome after Lumbar Disk Surgery:
A Case Report / *Tabibkhoei A., Kazemi F.,
Kazemi F., Taheri M.* page 49

Instructions to Authors page 55



Prague Medical Report (Prague Med Rep) is indexed and abstracted by Index-medicus, MEDLINE, PubMed, CNKI, DOAJ, EBSCO, and Scopus.

Abstracts and full-texts of published papers can be retrieved from the World Wide Web (<https://pmr.lf1.cuni.cz>).

Buspirone-associated Movement Disorder: A Literature Review

Jamir Pitton Rissardo, Ana Letícia Fornari Caprara

Department of Medicine and Department of Neurology, Federal University of Santa Maria, Santa Maria, Brasil

Received December 29, 2019; Accepted February 17, 2020.

Key words: Buspirone – MJ 9022-1 – Review – Movement disorder – Drug-induced

Abstract: Buspirone (BUS) belongs to the azapirone chemical class. The aim of this literature review is to evaluate the clinical epidemiological profile, pathological mechanisms, and management of BUS-associated movement disorders (MD). Relevant reports in six databases were identified and assessed by two reviewers without language restriction. A total of 25 reports containing 65 cases were assessed. The MD associated with BUS were: dyskinesia in 14 cases, 10 of akathisia, 8 of myoclonus, 6 of Parkinsonism, and 6 of dystonia. The cases not clearly defined were 7 tension, 14 incoordination, and the undefined number of dyskinesia, tics, and Parkinsonism. The mean age was 45.23 years (range: 15–74). The male was the predominant sex in 60.86% and the most common BUS-indication was anxiety disorder. The mean BUS-dose was 42.16 mg (range: 5–100). The time from the beginning of BUS administration to the MD onset was one month or less in 76%. The time from BUS withdrawal to complete recovery was within one month in 87.5%. The most common management was BUS withdrawal. In 16 patients the follow-up was reported: 14 had a full recovery, but in two (1 dyskinesia + 1 dystonia) the symptoms continued after the BUS withdrawal. MD associated with BUS were scarcely reported in the literature. Moreover, in the majority of cases, no clear description of the clinical profile, neurological examination, or the time data of the movement disorder onset and recovery were given.

Mailing Address: Dr. Jamir Pitton Rissardo, Rua Roraima, Santa Maria, Rio Grande do Sul, Brasil; Phone: (55) (55) 334 729 08; e-mail: jamirrissardo@gmail.com

<https://doi.org/10.14712/23362936.2020.1>

© 2020 The Authors. This is an open-access article distributed under the terms of the Creative Commons Attribution License (<http://creativecommons.org/licenses/by/4.0>).

Introduction

Buspirone (BUS) belongs to the azapirone chemical class. The chemical studies of this compound began in the 1960s, in which Mead Johnson and Company was attempting to develop an antipsychotic drug with a selective interaction to dopamine receptors and a lesser number of side effects (Howland, 2015). In rhesus monkeys during the 1970s, it was noted that the BUS can lead to hypoactivity controlling aggressive behaviour but it was not effective as antipsychotics (Tompkins et al., 1980); further animal studies confirmed these results (Loane and Politis, 2012). The approval by the Food and Drug Administration (FDA) in 1986 was done after one large clinical trial with more than nine hundred patients showing the safety and efficacy of the medication when compared to alprazolam, clorazepate, diazepam, and lorazepam to the management of anxiety disorders (Newton et al., 1986). At the end of the 1980s, more than thirty countries had approved BUS for clinical use including the United Kingdom (Taylor, 1988). It is worthy of mentioning that even though clinically BUS may resemble benzodiazepines, it does not have an affinity for benzodiazepine-GABA receptor complex (Loane and Politis, 2012) or has physical dependence (Griffith et al., 1986).

The azapirone medication is approved by the FDA to anxiety disorders, mainly generalized anxiety disorder, or the short-term relief of the symptoms of anxiety. In addition, BUS is used off-label for bruxism, depression (often in combination with other agents), neuropathic pain, posttraumatic stress syndrome, smoking cessation, substance abuse, and tardive dyskinesia (Howland, 2015; Wilson and Tripp, 2019).

The main mechanism of action of BUS is the partial agonism to serotonin 5HT_{1A} auto and heteroreceptors decreasing the adenylate cyclase in the raphe nuclei, cortex, and hippocampus (Eison and Temple, 1986). The interaction with this receptor probably explains the majority of the therapeutic benefits of the medication. Also, it has an antagonism to dopamine receptors, which may be involved with the rare side effects of the medication in predisposed individuals (McMillen et al., 1983). The bioavailability is less than five percent, and more than half of the drug is metabolized to the 1-(2-pyrimidinyl) piperazine (1-PP) by the cytochrome P450 3A4. The 1-PP is believed to have a greater affinity to the adrenergic α _{2A} receptors leading to the disinhibition of the central noradrenergic system (Engberg, 1989) (Figure 1) (McMillen et al., 1983; Eison and Temple, 1986; Taylor, 1988; Wilson and Tripp, 2019).

The most common side effect related with the use of BUS is dizziness, other adverse events that occurred in more than one percent of the individuals are nausea, headache, nervousness, blurred vision, confusion, diarrhea, insomnia, myalgia, numbness, paresthesias, rash, tremor, weakness, nonspecific chest pain (Taylor, 1988; Bristol-Myers Squibb Company, 2001). The only absolute contraindication is hypersensitivity to the drug (Bristol-Myers Squibb Company, 2001). During the clinical trials to the approval of the BUS, the most frequently reported movement disorders related to this drug were incoordination and tremor (Newton et al.,

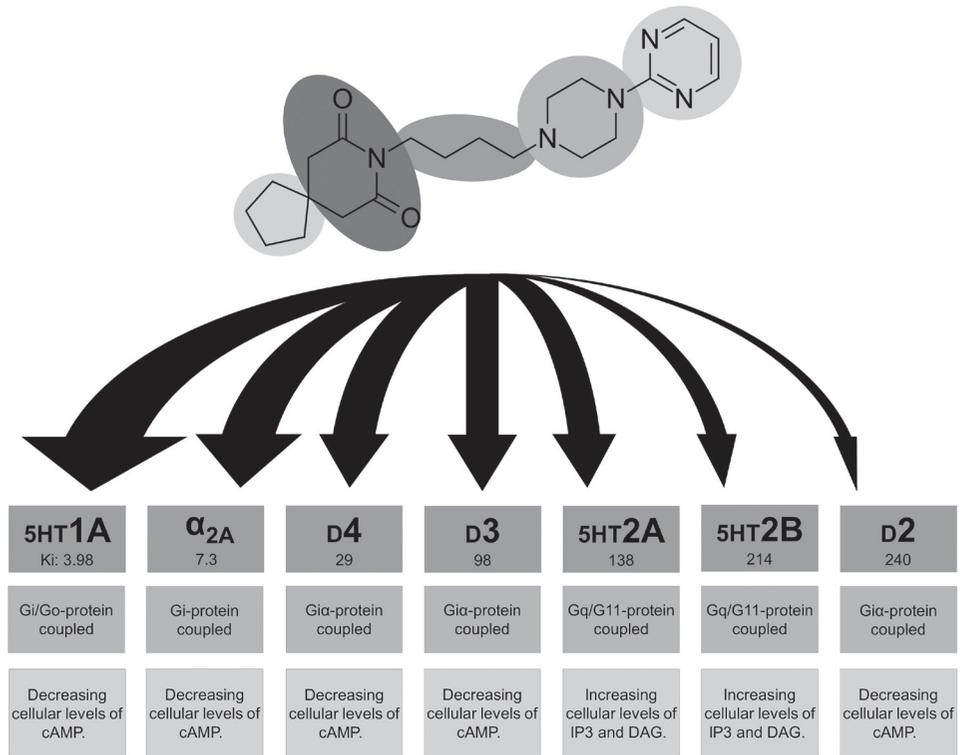


Figure 1 – Skeletal formula and pharmacodynamics of buspirone (BUS). The size of the arrow is inversely proportionally to the K_i (smaller the value stronger is the drug binds to the site). BUS acts as an agonist of the serotonin receptors (5HT1A, 2A, and 2B) and antagonists of adrenergic (α_{2A}) and dopamine receptors (D2, 3, 4).

1986). Other abnormal movements were rarely reported and only registered after the post-marketing experience, these are struggling to diagnosis and when present significantly affect the patients' quality of life. In this way, the aim of this literature review is to evaluate the clinical epidemiological profile, pathological mechanisms, and management of buspirone-associated movement disorders.

Methods

Search strategy

We searched six databases in an attempt to locate all existing reports on the movement disorders secondary to buspirone treatment published between 1985 and 2019 in electronic form. Excerpta Medica (Embase), Google Scholar, Latin American and Caribbean Health Sciences Literature (Lilacs), Medline, Scientific Electronic Library Online (SciELO), and ScienceDirect were searched. Search terms were "Parkinsonism, dyskinesia, chorea, ballism, akathisia, myoclonus, dystonia,

Table 1 – FreeText and MeSH search terms in the US National Library of Medicine

Category	Search terms	Results
Parkinsonism	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND (((((((((((("parkinson disease"[MeSH Terms] OR ("Parkinson"[All Fields] AND "disease"[All Fields])) OR "parkinson disease"[All Fields]) OR "Parkinson's"[All Fields]) OR "parkinsons"[All Fields]) OR "Parkinson"[All Fields]) OR "parkinsonian disorders"[MeSH Terms]) OR ("parkinsonian"[All Fields] AND "disorders"[All Fields])) OR "parkinsonian disorders"[All Fields]) OR "parkinsonism"[All Fields]) OR "parkinsonisms"[All Fields]) OR "parkinsons's"[All Fields])	58
Tics	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND ("TIC"[Journal] OR "TIC"[All Fields])	7
Dyskinesia	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND (((("dyskinesiae"[All Fields] OR "dyskinesias"[MeSH Terms]) OR "dyskinesias"[All Fields]) OR "dyskinesia"[All Fields]) OR "dyskinesis"[All Fields])	148
Dystonia	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND (((("dystonia"[MeSH Terms] OR "dystonia"[All Fields]) OR "dystonias"[All Fields]) OR "dystonic disorders"[MeSH Terms]) OR ("dystonic"[All Fields] AND "disorders"[All Fields])) OR "dystonic disorders"[All Fields])	16
Stuttering	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND (((((((((((("stammerers"[All Fields] OR "stammers"[All Fields]) OR "stutterer"[All Fields]) OR "stutterer's"[All Fields]) OR "stutterers"[All Fields]) OR "stuttering"[MeSH Terms]) OR "stuttering"[All Fields]) OR "stammer"[All Fields]) OR "stammering"[All Fields]) OR "stutter"[All Fields]) OR "stuttered"[All Fields]) OR "stutters"[All Fields]) OR "stutterings"[All Fields])	0
Myoclonus	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND (((("myoclonias"[All Fields] OR "myoclonus"[MeSH Terms]) OR "myoclonus"[All Fields]) OR "myoclonia"[All Fields])	13
Restless legs syndrome	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND ((("restless legs syndrome"[MeSH Terms] OR ((("restless"[All Fields] AND "legs"[All Fields]) AND "syndrome"[All Fields])) OR "restless legs syndrome"[All Fields])	1

Akathisia	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND (((("akathisias"[All Fields] OR "psychomotor agitation"[MeSH Terms]) OR ("psychomotor"[All Fields] AND "agitation"[All Fields])) OR "psychomotor agitation"[All Fields]) OR "akathisia"[All Fields])	33
Tremor	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND (((("trembling"[All Fields] OR "tremor"[MeSH Terms]) OR "tremor"[All Fields]) OR "tremors"[All Fields]) OR "tremoring"[All Fields]) OR "tremorous"[All Fields])	17
Chorea	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND ((("chorea"[MeSH Terms] OR "chorea"[All Fields]) OR "choreas"[All Fields])	8
Restlessness	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND (((("psychomotor agitation"[MeSH Terms] OR ("psychomotor"[All Fields] AND "agitation"[All Fields])) OR "psychomotor agitation"[All Fields]) OR "restlessness"[All Fields]) OR "restless"[All Fields])	32
Ataxia	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND ((("ataxia"[MeSH Terms] OR "ataxia"[All Fields]) OR "ataxias"[All Fields])	36
Ballism	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND ((("dyskinesias"[MeSH Terms] OR "dyskinesias"[All Fields]) OR "ballism"[All Fields])	140
Hyperkinetic	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND ("hyperkinetic"[All Fields] OR "hyperkinetics"[All Fields])	0
Hypokinetic	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND ((("hypokinesia"[MeSH Terms] OR "hypokinesia"[All Fields]) OR "hypokinetic"[All Fields])	1
Bradykinesia	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND (((("hypokinesia"[MeSH Terms] OR "hypokinesia"[All Fields]) OR "bradykinesia"[All Fields]) OR "bradykinesias"[All Fields])	1
Movement disorder	((("buspirone"[MeSH Terms] OR "buspirone"[All Fields]) OR "buspiron"[All Fields]) OR "buspirone's"[All Fields]) AND (((("movement disorders"[MeSH Terms] OR ("movement"[All Fields] AND "disorders"[All Fields])) OR "movement disorders"[All Fields]) OR ("movement"[All Fields] AND "disorder"[All Fields])) OR "movement disorder"[All Fields])	93
Total		604

restless legs syndrome, tremor, tic, restlessness, ataxia, hyperkinetic, hypokinetic, bradykinesia, movement disorder”. These terms were combined with “buspirone, MJ 9022-1” (Table 1).

Inclusion and exclusion criteria

Case reports, case series, original articles, letters to the editor, bulletins, and poster presentations published from 1985 to 2019 were included in this review with no language restriction. The two authors independently screened the titles and abstracts of all papers found from the initial search. Disagreements between the authors were resolved through discussion.

We excluded cases that the abnormal movement was not worsened or related to buspirone. Cases that had more than one factor contributing to the movement disorder were evaluated according to the probability of the event occurrence based on the Naranjo algorithm. Also, cases that were not accessible by electronic methods including direct request to the authors of the study by email were excluded. Reports that the individuals only developed tremor or ataxia after buspirone use were also not included.

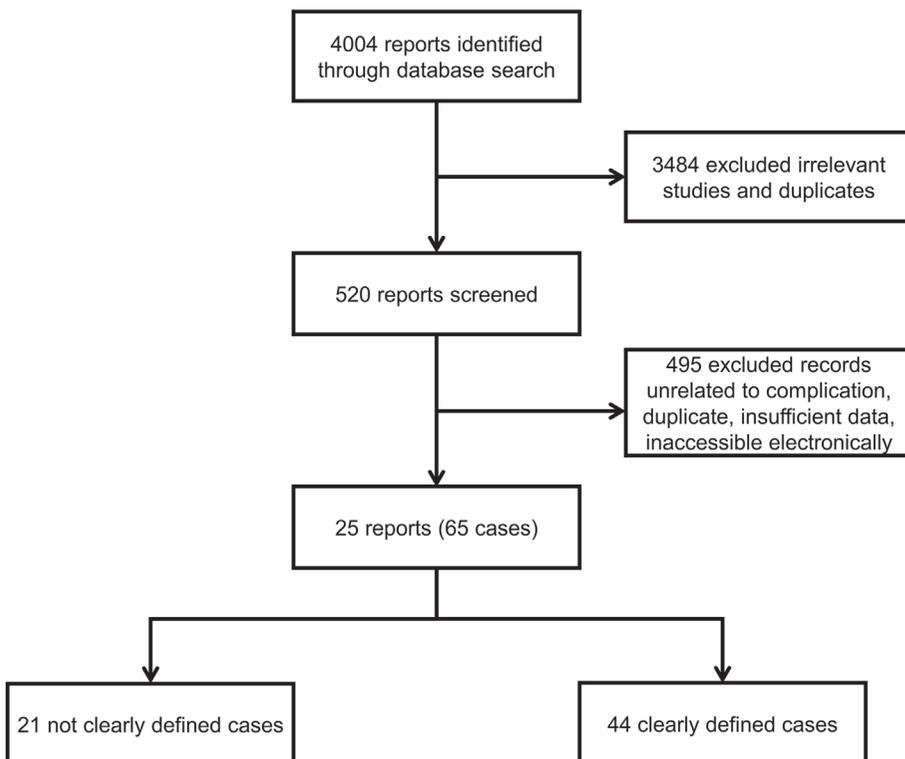


Figure 2 – Flow chart of the screening process.

Data extraction

A total of 4,004 papers were found; 3,484 were irrelevant (Figure 2). When provided, we extracted author, department, year of publication, country of occurrence, number of patients affected, buspirone indication including off-label uses, time from first buspirone-dose till movement disorder onset, time from buspirone withdrawal to symptoms improvement, patient's status at follow-up, and important findings of clinical history and management. A large percentage of the reports did not describe the management and even the onset and recovery times of movement disorder. The data were extracted by two independent authors, double-checked to ensure matching, and organized by whether the movement disorder was a side effect of the buspirone use.

Statistical analysis

Categorical variables were represented as proportions; continuous variables were represented as mean, standard deviations (SD), median, and range.

Definitions

The clinical characteristics and definitions of the movement disorders such as Parkinsonism, dyskinesia, chorea, ballism, akathisia, myoclonus, dystonia, restless legs syndrome, tremor, and tic were obtained from the reference Jankovic and Tolosa (2007). The clinical diagnosis for the psychiatric conditions was obtained from the Diagnostic and Statistical Manual of Mental Disorders (DSM-5) (American Psychiatric Association, 2013). The Naranjo algorithm was used for determining the likelihood of whether an adverse drug reaction was actually due to the drug rather than the result of other factors (Naranjo et al., 1981).

Results

For the years 1985 and 2019, a total of 25 reports containing 65 cases from eight countries who developed a movement disorder secondary to buspirone were reported (Table 2) (Hammerstad et al., 1986; Ludwig et al., 1986; Newton et al., 1986; Liegghio et al., 1988; Patterson, 1988; Ritchie et al., 1988; Strauss, 1988; Lydiard, 1989; Boylan, 1990; Brody et al., 1990; Metz, 1990; Rock, 1990; Goff et al., 1991; Kleedorfer et al., 1991; Goldberg and Huk, 1992; Naber et al., 1992; LeWitt et al., 1993; Pranzatelli et al., 1993; Bonifati et al., 1994; Coulter and Pillans, 1995; Poyurovsky and Weizman, 1997; Manos, 2000; Clay and Adams, 2003; Mejia and Jankovic, 2005; Scholtissen et al., 2006). Figure 3 shows the number of reports associated with movement disorders and BUS throughout time. The origin was North American in 56, European 6, Asian 2, and 1 Australian. The movement disorders associated with BUS were 14 dyskinesias, 10 akathisia, 8 myoclonus, 6 Parkinsonism, and 6 dystonia. The cases not clearly defined were 7 tension, 14 incoordination, and the undefined number of dyskinesia, tics, and Parkinsonism.

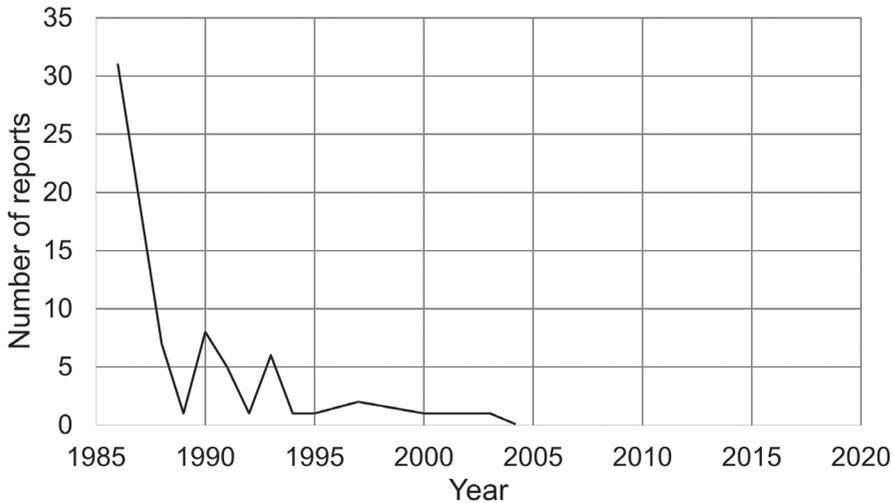


Figure 3 – Graphic showing the number of clinical reports of buspirone-associated movement disorder from 1985 to 2019.

The following data will be only about the clearly defined group, which includes 44 individuals. The mean and median age were respectively 45.23 (SD 16.82) and 52 years (age range: 15–74 years). The male was the predominant sex in 60.86% (14/23). The indications of BUS were in decrescent order anxiety disorders in 39.39% (13/33), Parkinson's disease (5), schizophrenia (5), myoclonus (4), panic disorders (3), akathisia (2), and dystonia (1). The mean and median BUS-dose were respectively 42.16 (SD 29.23) and 30 mg (dose range: 5–100 mg); 1 at 5 mg, 1 at 10 mg, 5 at 15 mg, 4 at 20 mg, 2 at 25 mg, 3 at 30 mg, 3 at 40 mg, 4 at 60 mg, 1 at 65 mg, 2 at 70 mg, 1 at 90 mg, 3 at 100 mg.

The time from the beginning of BUS administration to the movement disorder onset was defined by 30 individuals, the mean and median onset time were 4.6 (SD 4.6) and 4 weeks (onset range: 1 day–21 weeks). The onset time was within one month in 76% of the cases. The time from BUS withdrawal to complete recovery was defined in 8 individuals and was within one month in 7 cases. A moderate linear correlation ($r: 0.4550$) between the time from BUS start to movement disorder onset and BUS-dose was found.

In almost all cases that the management was reported, the BUS was withdrawn after the onset of movement disorder. Other options were BUS-dose reduction, maintenance even with the presence of the abnormal movement, and the start of other medications such as benzodiazepines, diphenhydramine, benztropine, trihexyphenidyl, baclofen, and carbidopa/levodopa. In some cases, there was a possible interaction between the medications and other drugs were also withdrawal

together with BUS. In 16 patients the follow-up was reported; 14 had a full recovery, but in two (1 dyskinesia + 1 dystonia) the symptoms continued after the BUS withdrawal.

Discussion

General

Movement disorders associated with bupirone (BUS) were scarcely reported in the literature. It is worth mentioning that bupirone hydrochloride was the 80th most used medication with more than ten million prescriptions in 2016 (ClinCalc, 2019). In addition, the majority of the reports occurred due to possible interaction with other medications; the use of BUS and haloperidol is one example of that, which when used together, an increasing number of dyskinesias was shown, that were first thought to be related with BUS alone (Goff et al., 1991). However, clear analyses revealed that the increase in the concentration of haloperidol by BUS may be the best explanation. Another example is the interaction with fluoxetine since both can increase serotonin levels. There is a greater probability of serotonergic syndrome when they are used together (Coulter and Pillans, 1995).

The cases that only reported ataxia and tremor were excluded from the analysis to limit the number of references and due to different reporting purposes. The incidence of these two abnormal movements with BUS is approximately one percent (Taylor, 1988). The complaints about tremors occurred since the first clinical trials; the ataxia was only post-marketing, but the trials described incoordination in their side effect lists (Newton et al., 1986). The data of Newton et al. (1986) in Table 2 were included because some reports like Boylan (1990) described that they represent dystonic cases.

Based on the cases reported in Table 2, we can describe a hypothetical case report. A North American middle-aged male presented to his psychiatrist due to the worsening of anxiety. The physician started BUS treatment with progressive increase till 10–15 mg tid. One month after in the follow-up, the individual complained of abnormal movements, and he was diagnosed with dyskinesia. BUS was withdrawn, and within one month the individual had a full recovery of the motor symptoms.

Figure 4 shows a resume of the mechanisms to explain the BUS-use for neuropathic pain (dorsal horn) and dyskinesia (dorsal raphe) (Shireen, 2016; Haleem et al., 2018). We believe that some of the movement disorders can be explained by the same process as represented by the treatment of these two pathologies. Herein, we would like to discuss some of the movement disorders in subtopics to give a better comprehension of the data.

Dyskinesias (DKN)

This was the most common reported movement disorder secondary to BUS, but the description of the cases was poor with all reports missing at least one important information. The age of the affected individuals was higher when compared to the

Table 2 – Clinical reports of buspirone-associated movement disorders

Reference	Country /year	No. cases	Age /sex	BUS			Follow-up	Important clinical history and clinical management
				indication	dose (mg)	start to MD		
PARKINSONISM								
Hammerstad et al.	USA /1986	2	54/F	PD	90	21 weeks	NR	CH: BUS worsened PD. CM: BUS withdrawal
Kleedorfer et al.	UK /1991	3	56 (mean) /M	PD	65	17 weeks	NR	CH: BUS worsened PD. CM: BUS withdrawal
Clay and Adams	USA /2003	1	54/M	anxiety	70	6 weeks	2 weeks	CH: HIV positive, possible interaction with ritonavir. CM: BUS-dose reduction with ritonavir withdrawal and amprenavir start
DYSKINESIA								
Ludwig et al.	USA /1986	8	NR	PD	100	NR	NR	CM: BUS maintenance until the end of the study
Strauss	USA /1988	1	elderly /NR	anxiety	NR	3 days	NA	CH: orofacial DKN. CM: BUS withdrawal with symptoms maintenance
Lydiard	USA /1989	1	NR	NR	NR	NR	NR	
Brody et al.	USA /1990	3	NR	schizophrenia	20	3 weeks	NR	CM: BUS withdrawal
			NR	schizophrenia	25	3 weeks	NR	CH: associated AKT to the DKN. CM: BUS withdrawal
			NR	schizophrenia	30	2 weeks	NR	CH: associated AKT to the DKN. CM: BUS withdrawal

Bonifati et al.	Italy /1994	1	60 (mean) /NR	PD	20	3 weeks	NR	NA	CH: BUS worsened the levodopa-induced DKN
AKATHISIA									
Liegghio et al.	USA /1988	4	NR	3 panic disorder and 1 generalized anxiety disorder	NA	NA	NA	CR	CH: possible interaction with alprazolam. CM: BUS withdrawal with symptoms improvement
Patterson	USA /1988	1	54/M	anxiety	15	1 month	1 day	CR	CM: BUS withdrawal. After 7 days, BUS rechallenge caused the reappearance of AKT; BUS withdrawal with symptoms recovery
Brody et al.	USA /1990	2	NR	schizophrenia	30	4 weeks	NR	CR	CM: BUS withdrawal
Rock	USA /1990	1	33/M	anxiety	40	3 weeks	NR	CR	CM: BUS withdrawal
Poyurovsky and Weizman	Israel /1997	2	19/M 26/M	anxiety	70	2 months	weeks	CR	CM: BUS withdrawal and benzodiazepines started. BUS rechallenge with the reappearance of the symptoms; BUS withdrawal
				AKT	20	3 days	NA	NA	CH: BUS worsened AKT. CM: BUS withdrawal
				AKT	10	3 days	NA	NA	CH: BUS worsened AKT. CM: BUS withdrawal
MYOCLONUS									
Ritchie et al.	USA /1988	1	62/F	anxiety	15	single-dose	1 day	CR	CH: She developed MCL, DTN, and AKT after a single-dose. CM: BUS withdrawal; clonazepam, diphenhydramine, and benzotropine start with symptoms recovery

Goldberg and Huk	USA /1992	1	74/M	anxiety	15	10 days	1 day	CR	CH: multifocal MCL; possible interaction with trazodone. CM: BUS withdrawal and benzotropine start
Pranzatelli et al.	USA /1993	4	15/M	MCL	15	60 days	NA	NA	CH: BUS worsened epileptic myoclonus; apparently dose-dependent
			18/M	MCL	25	18 days	NA	NA	CH: BUS worsened epileptic myoclonus; apparently dose-dependent
			19/F	MCL	15	3 days	NA	NA	CH: BUS worsened epileptic myoclonus
			22/F	MCL	5	13 days	NA	NA	CH: BUS worsened epileptic myoclonus
Coulter and Pillans	New Zealand /1995	1	49/M	anxiety	NR	NR	NR	CH: possible interaction with fluoxetine	
Manos	USA /2000	1	37/M	anxiety	60	4 weeks	2 days	CR	CH: multifocal MCL; possible interaction with fluoxetine. CM: BUS withdrawal
DYSTONIA									
Boylan	USA /1990	1	64/F	anxiety	40	4 weeks	months	CR	CH: unilateral upper limb dystonia, later she developed athetosis in the contralateral limb. CM: BUS withdrawal. Trials with trihexyphenidyl, baclofen, and diazepam were ineffective; carbidopa/levodopa worsened the symptoms

Metz	USA /1990	1	36/F	anxiety	20	years	1	month	CR	CH: unilateral upper limb DTN associated with AKT; possible interaction with fluoxetine. CM: BUS withdrawal
Naber et al.	Germany /1992	2	52 (mean) /F	cervical DTN	100	weeks	NR	NR	NR	CH: BUS worsened cervical DTN (torticollis)
LeWitt et al.	USA /1993	2	45/M	anxiety	40	weeks	NR	NR	No	CH: cervical DTN. CM: BUS withdrawal and trihexyphenidyl started
			54/M	anxiety	30	6 weeks	NR	NR	NA	CH: BUS worsened cervical DTN. CM: BUS withdrawal
LITERATURE REVIEWS AND CASES NOT CLEARLY DEFINED										
Ludwig et al.	USA /1986	7	tension	Assessment of BUS for the management of idiopathic PD in 16 individuals.						
Newton et al.	USA /1986	14	incoordination	Assessment of BUS for the management of generalized anxiety disorder in 984 individuals. We included these "incoordination" patients because in the literature some authors classified them as being DTN.						
Goff et al.	Canada /1991	NR	DKN	Assessment of BUS for the management of anxiety in schizophrenic patients. It was observed that when administered with haloperidol, the haloperidol concentration increases leading to an increased number of DKN.						
Mejia and Jankovic	USA /2005	NR	tics	Assessment of tics in 155 individuals. The tics were associated with BUS in one patient treated for a psychiatric condition.						
Scholtissen et al.	Netherlands /2006	NR	PKN	Assessment of BUS on cognition, mood, and motor performance in 21 individuals with PD.						

AKT – akathisia; BUS – buspirone; CH – clinical history; CM – complete recovery; DKN – dyskinesia; DTN – dystonia; F – female; M – male; MCL – myoclonus; MD – movement disorder; NA – not applicable/not available; NR – not reported; PD – Parkinson's disease; PKN – Parkinsonism

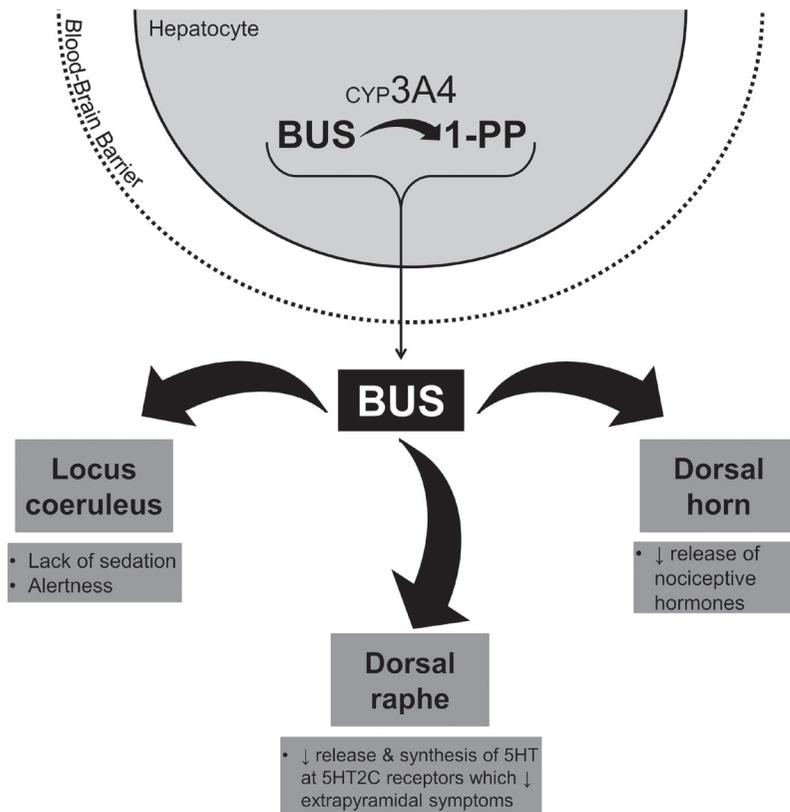


Figure 4 – Schematic diagram of buspirone (BUS) metabolism. After ingestion, BUS goes to the liver and is metabolized by CYP 3A4 to the 1-(2-pyrimidinyl) piperazine (1-PP), which is the major BUS metabolite. The locus coeruleus, dorsal raphe, and dorsal horn of the spinal cord are some of the sites of BUS action in the central nervous system. The arrows show a resume of the mechanisms to explain the BUS-use for neuropathic pain (dorsal horn) and dyskinesia (dorsal raphe).

general data, which could represent an important factor for the development of this abnormal movement (Smith and Baldessarini, 1980). By the way, one of the cases that did not have a full recovery was reported with DKN; to be more specific, the only among the DKN that had orofacial involvement (Strauss, 1988). Some individuals had a previous diagnosis of DKN, and when BUS was used, they had a worsening of DKN (Bonifati et al., 1994).

One of the hypotheses to explain the development of DKN involves the relationship of the serotonin, dopamine, and glutamate neurotransmitters in the striatum (Figure 5) (Floresco and Magyar, 2006; Shireen, 2016; Sokoloff and Le Foll, 2017; Atoji and Sarkar, 2019). Especially antipsychotics with dopamine D2 blockage can provoke an inflammatory process and release reactive oxygen species causing an abnormal adaptation of the striatal organization, and ultimately leading to

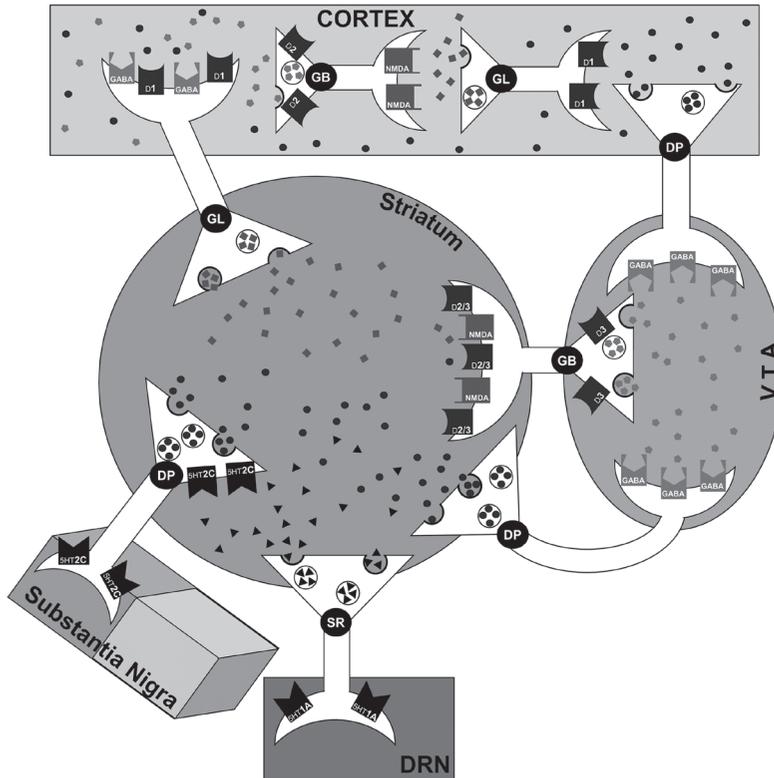


Figure 5 – Schematic diagram of the pathophysiological mechanism of antipsychotic-induced extrapyramidal symptoms (EPS) with serotonergic modulation. Current antipsychotics act at D2/3 receptors, in which the D2 blockage may cause EPS and disbalance glutamate/GABA in the cortex leading to interference in task-dependent neuronal activities such as decision-making. D3 receptor antagonists (F17464) regulate dopamine (DA) neurotransmission in the ventral tegmental area (VTA) contributing to the normalization of DA release in the prefrontal cortex. 5HT1A receptor agonists (buspirone and 8OHDPAT) inhibit the release and synthesis of 5HT at 5HT2C receptors decreasing the dopaminergic activity. 5HT2A/2C receptor antagonists (mianserin) free DA from the 5HT modulation influence. Dopamine (D1, 2, 3), GABA (GABA), glutamate (NMDA), and serotonin (5HT1A, 2C) receptors. Dopaminergic (DP), GABAergic (GB), glutamatergic (GL), and serotoninergic (SR) neurons. DRN – dorsal raphe nucleus.

overactivation of the direct pathway (Lepping et al., 2011). With this background, the benefit of BUS for the management of DKN is due to the agonism of 5HT1A (coupled to the Gi protein and mediates inhibitory neurotransmission) that decrease the release and synthesis of serotonin; the serotonin concentration decrease causes a reduction of 5HT2C (coupled to the Gq protein and mediates excitatory neurotransmission) activity, which reduces the release of dopamine (Shireen, 2016). We believe that in some older individuals BUS may cause a selective block of D2 more than the agonism in 5HT2A can hold. This assumption is plausible in the cases

reported and is supported by the relative longer movement disorder onset time when compared to general data.

Akathisia (AKT)

The AKT patients were younger than the mean data and all the subjects were males. The most frequently management was BUS withdrawal; in some reports, benzodiazepines were started (Rock, 1990). The BUS re-challenge was attempted in two cases, but in both the AKT reappeared earlier than in the first episode (Patterson, 1988; Rock, 1990). In the majority of the reports, the patients had previously used antipsychotics, so it may be a predisposition factor for the development of AKT (Jann et al., 1990). Poyurovsky and Weizman (1997) did an open-label study to investigate BUS for the treatment of acute-neuroleptic induced akathisia in 10 individuals; the therapeutic response was an improvement in 2, worsening 2, unchanged 6.

We hypothesized that the BUS-induced AKT is probably related to the noradrenergic neurotransmission. The 1-PP metabolite strongly interacts with the adrenergic α 2A receptors leading to the disinhibition of the central noradrenergic system (Engberg, 1989). This can be supported by studies with animal models, which showed that noradrenaline causes the release of dopamine in the orbitofrontal cortex leading to the dopamine receptor D1 (coupled to the Gs protein and mediates excitatory neurotransmission) hyperactivation inducing AKT symptoms (Hurd et al., 2001; Dalley et al., 2008).

Myoclonus (MCL)

The individuals were younger, the BUS-dose were lower, and the time of movement disorder onset was shorter than the in general population. The management was drug withdrawal; benzodiazepines were also started (Ritchie et al., 1988). The presentation was apparently multifocal. The source cannot be characterized due to insufficient clinical features and the absence of electrodiagnostic studies, but we believe that was cortical origin because the use of BUS worsened MCL epileptic patients (Pranzatelli et al., 1993; Caviness and Brown, 2004). This abnormal movement was found in association with other drugs such as fluoxetine and trazodone, as a result, maybe the MCL was part of serotonin syndrome (Ables and Nagubilli, 2010).

MCL has been already associated with the deficiency and increase of serotonin (Jiménez-Jiménez et al., 2004). This is presupposed because in some individuals the use of drugs that increase the serotonin concentration like selective serotonin reuptake inhibitors showed a reduced frequency of jerks, but in other cases, the enhanced serotonin syndrome caused the increase of the frequency (Giménez-Roldán et al., 1988). An important fact is that the interaction between serotonin 5-HT1A and 5-HT2 receptors is necessary to induce MCL (Klawans et al., 1973); both receptors are involved with BUS (Taylor, 1988).

Parkinsonism (PKN)

In almost all the PKN cases, the patients had a previous diagnosis of Parkinson's disease (PD), and when BUS was tried the bradykinesia significantly worsened (Hammerstad et al., 1986; Kleedorfer et al., 1991). The management was BUS withdrawal. In only one patient without a movement disorder, the BUS caused the occurrence of PKN (Clay and Adams, 2003). The most interesting finding was that the BUS-doses related to this abnormal movement were about twice the average. One possible explanation for the BUS-induced PKN is the blockage of dopaminergic receptors, but the agonism to the serotonergic neurotransmission leading to a decrease of dopamine also can contribute (López-Sendón et al., 2013). Therefore, we believe that in the patients with the previous diagnosis of PD probably predominated the dopamine block, and in the patients without a movement disorder the serotonergic pathway. This can be supported by the BUS K_i values, time of movement disorder onset, and alteration in the dopaminergic striatum throughout the time in PD (Taylor, 1988; Zhai et al., 2018).

Dystonia (DTN)

The presentation was usually focal, such as a cervical and unilateral upper limb. It manifested more frequently in females (1:2), and this feature is similar to the studies of drug-induced DTN (Swett, 1975; Jiménez-Jiménez et al., 1997). The BUS-dose was slightly higher than in the general data. A characteristic feature that differs from the literature data is the time from BUS start to the DTN onset which was the highest among the BUS-associated movement disorders, while in the majority of the drug-induced DTN the time was relatively short (Jiménez-Jiménez et al., 1997).

Boylan (1990) reported the first case of DTN and three hypotheses were proposed to the development of this abnormal movement. First, Boylan (1990) suggested that the DTN occurred due to the antagonism of dopamine receptors, which is a possible explanation for all the extrapyramidal symptoms (EPS) associated with this drug if we believe that all of them were predisposed due to a higher affinity to dopaminergic instead of serotonergic receptors by BUS (McMillen et al., 1983). Second, Jansen (1991) proposed that the BUS-induced DTN was due to the interaction of BUS with sigma (σ) receptors; one important drawback to this assumption is that in animal studies the interaction with this receptor did not cause any abnormal movement, instead the serotonin receptors showed some influence (Paquette et al., 2009; Mohajjel Nayebi and Sheidaei, 2010). Third, Jiménez-Jiménez (1991) assumed that due to the higher affinity to serotonin receptors the cause was related to the increase of serotonin. We believe that the third mechanism is the most probable in the individuals reported and some facts in rat and monkey models can support this hypothesis: serotonin reuptake inhibitors can themselves induce EPS (Korsgaard et al., 1985); antipsychotic-induced EPS is worsened by the increase of serotonin concentration (Carter and Pycocock, 1977); the antagonism of serotonin receptors can alleviate DTN (Richter and Löscher, 1995).

Conclusion

In summary, movement disorders (MD) associated with BUS (buspirone) administration were encountered in descending order of frequency: dyskinesia, akathisia, myoclonus, Parkinsonism, tics, and dystonia. The Ki values of BUS may explain the mechanistic receptor preference for the occurrence of these disorders. In this context, BUS-induced akathisia is probably related to norepinephrine; myoclonus and dystonia are related to serotonin; dyskinesia and Parkinsonism to the dopamine-serotonergic hypothesis. The best management is probably the discontinuation of the offending agent in all cases of BUS-induced MD. It is worth mentioning that most of the reports not clearly describe the neurological examination, or the onset and recovery times of movement disorder.

References

- Ables, A. Z., Nagubilli, R. (2010) Prevention, recognition, and management of serotonin syndrome. *Am. Fam. Physician* **81(9)**, 1139–1142.
- American Psychiatric Association (2013) *Diagnostic and Statistical Manual of Mental Disorders (DSM-5)*. American Psychiatric Publishing, Arlington.
- Atoji, Y., Sarkar, S. (2019) Localization of AMPA, kainate, and NMDA receptor mRNAs in the pigeon cerebellum. *J. Chem. Neuroanat.* **98**, 71–79.
- Bonifati, V., Fabrizio, E., Cipriani, R., Vanacore, N., Meco, G. (1994) Buspirone in levodopa-induced dyskinesias. *Clin. Neuropharmacol.* **17(1)**, 73–82.
- Boylan, K. (1990) Persistent dystonia associated with buspirone. *Neurology* **40(12)**, 1904.
- Bristol-Myers Squibb Company (2001) *BuSpar*[®]. Available at: https://www.accessdata.fda.gov/drugsatfda_docs/label/2001/18731s39s45lbl.pdf
- Brody, D., Adler, L. A., Kim, T., Angrist, B., Rotrosen, J. (1990) Effects of buspirone in seven schizophrenic subjects. *J. Clin. Psychopharmacol.* **10(1)**, 68–69.
- Carter, C. J., Pycock, C. J. (1977) Possible importance of 5-hydroxytryptamine in neuroleptic-induced catalepsy in rats [proceedings]. *Br. J. Pharmacol.* **60(2)**, 267P–268P.
- Caviness, J. N., Brown, P. (2004) Myoclonus: Current concepts and recent advances. *Lancet Neurol.* **3(10)**, 598–607.
- Clay, P. G., Adams, M. M. (2003) Pseudo-Parkinson disease secondary to ritonavir-buspirone interaction. *Ann. Pharmacother.* **37(2)**, 202–205.
- ClinCalc (2019) *Top 300 Drugs*. Available at: <https://clincalc.com/DrugStats/Top300Drugs.aspx>
- Coulter, D. M., Pillars, P. I. (1995) Fluoxetine and extrapyramidal side effects. *Am. J. Psychiatry* **152(1)**, 122–125.
- Dalley, J. W., Mar, A. C., Economidou, D., Robbins, T. W. (2008) Neurobehavioral mechanisms of impulsivity: Fronto-striatal systems and functional neurochemistry. *Pharmacol. Biochem. Behav.* **90(2)**, 250–260.
- Eison, A. S., Temple, D. L. Jr. (1986) Buspirone: review of its pharmacology and current perspectives on its mechanism of action. *Am. J. Med.* **80(3B)**, 1–9.
- Engberg, G. (1989) A metabolite of buspirone increases locus coeruleus activity via alpha 2-receptor blockade. *J. Neural Transm.* **76(2)**, 91–98.
- Floresco, S. B., Magyar, O. (2006) Mesocortical dopamine modulation of executive functions: beyond working memory. *Psychopharmacology (Berl.)* **188(4)**, 567–585.
- Giménez-Roldán, S., Mateo, D., Muradas, V., De Yébenes, J. G. (1988) Clinical, biochemical, and pharmacological observation in a patient with postasphyxial myoclonus: association to serotonin hyperactivity. *Clin. Neuropharmacol.* **11(2)**, 151–160.

- Goff, D. C., Midha, K. K., Brotman, A. W., McCormick, S., Waites, M., Amico, E. T. (1991) An open trial of buspirone added to neuroleptics in schizophrenic patients. *J. Clin. Psychopharmacol.* **11(3)**, 193–197.
- Goldberg, R. J., Huk, M. (1992) Serotonin syndrome from trazodone and buspirone. *Psychosomatics* **33(2)**, 235–236.
- Griffith, J. D., Jasinski, D. R., Casten, G. P., McKinney, G. R. (1986) Investigation of the abuse liability of buspirone in alcohol-dependent patients. *Am. J. Med.* **80(3B)**, 30–35.
- Haleem, D. J., Nawaz, S., Salman, T. (2018) Dose related effects of buspirone on pain, learning/memory and food intake. *Regul. Toxicol. Pharmacol.* **99**, 182–190.
- Hammerstad, J. P., Carter, J., Nutt, J. G., Casten, G. C., Shrotriya, R. C., Alms, D. R., Temple, D. (1986) Buspirone in Parkinson's disease. *Clin. Neuropharmacol.* **9(6)**, 556–560.
- Howland, R. H. (2015) Buspirone: back to the future. *J. Psychosoc. Nurs. Ment. Health Serv.* **53(11)**, 21–24.
- Hurd, Y. L., Suzuki, M., Sedvall, G. C. (2001) D1 and D2 dopamine receptor mRNA expression in whole hemisphere sections of the human brain. *J. Chem. Neuroanat.* **22(1–2)**, 127–137.
- Jankovic, J., Tolosa, E. (2007) *Parkinson's Disease and Movement Disorders*. Lippincott Williams and Wilkins, Philadelphia.
- Jann, M. W., Froemming, J. H., Borison, R. L. (1990) Movement disorders and new azapirone anxiolytic drugs. *J. Am. Board Fam. Pract.* **3(2)**, 111–119.
- Jansen, K. L. (1991) Buspirone and dystonia. *Neurology* **41(11)**, 1850, correspondence.
- Jiménez-Jiménez, F. J. (1991) Buspirone and dystonia. *Neurology* **41(11)**, 1850, correspondence.
- Jiménez-Jiménez, F. J., Garcia-Ruiz, P. J., Molina, J. A. (1997) Drug-induced movement disorders. *Drug Saf.* **16(3)**, 180–204.
- Jiménez-Jiménez, F. J., Puertas, I., de Toledo-Heras, M. (2004) Drug-induced myoclonus: Frequency, mechanisms and management. *CNS Drugs* **18(2)**, 93–104.
- Klawans, H. L. Jr., Goetz, C., Weiner, W. J. (1973) 5-hydroxytryptophan-induced myoclonus in guinea pigs and the possible role of serotonin in infantile myoclonus. *Neurology* **23(11)**, 1234–1240.
- Kleedorfer, B., Lees, A. J., Stern, G. M. (1991) Buspirone in the treatment of levodopa induced dyskinesias. *J. Neurol. Neurosurg. Psychiatry* **54(4)**, 376–377.
- Korsgaard, S., Gerlach, J., Christensson, E. (1985) Behavioral aspects of serotonin-dopamine interaction in the monkey. *Eur. J. Pharmacol.* **118(3)**, 245–252.
- Lepping, P., Delieu, J., Mellor, R., Williams, J. H. H., Hudson, P. R., Hunter-Lavin, C. (2011) Antipsychotic medication and oxidative cell stress: a systematic review. *J. Clin. Psychiatry* **72(3)**, 273–285.
- LeWitt, P. A., Walters, A., Hening, W., McHale, D. (1993) Persistent movement disorders induced by buspirone. *Mov. Disord.* **8(3)**, 331–334.
- Lieghio, N. E., Yeragani, V. K., Moore, N. C. (1988) Buspirone-induced jitteriness in three patients with panic disorder and one patient with generalized anxiety disorder. *J. Clin. Psychiatry* **49(4)**, 165–166.
- Loane, C., Politis, M. (2012) Buspirone: what is it all about? *Brain Res.* **1461**, 111–118.
- López-Sendón, J., Mena, M. A., de Yébenes, J. G. (2013) Drug-induced Parkinsonism. *Expert Opin. Drug Saf.* **12(4)**, 487–496.
- Ludwig, C. L., Weinberger, D. R., Bruno, G., Gillespie, M., Bakker, K., LeWitt, P. A., Chase, T. N. (1986) Buspirone, Parkinson's disease, and the locus ceruleus. *Clin. Neuropharmacol.* **9(4)**, 373–378.
- Lydiard, R. (1989) Buspirone revisited. *J. Clin. Psychiatry* **50(8)**, 308–308.
- Manos, G. H. (2000) Possible serotonin syndrome associated with buspirone added to fluoxetine. *Ann. Pharmacother.* **34(7–8)**, 871–874.
- McMillen, B. A., Matthews, R. T., Sanghera, M. K., Shepard, P. D., German, D. C. (1983) Dopamine receptor antagonism by the novel antianxiety drug, buspirone. *J. Neurosci.* **3(4)**, 733–738.
- Mejia, N. I., Jankovic, J. (2005) Secondary tics and tourettism. *Braz. J. Psychiatry* **27(1)**, 11–17.

- Metz, A. (1990) Interaction between fluoxetine and buspirone. *Can. J. Psychiatry* **35(8)**, 722–723.
- Mohajjel Nayebi, A. A., Sheidaei, H. (2010) Buspirone improves haloperidol-induced Parkinson disease in mice through 5-HT(1A) recaptors. *Daru* **18(1)**, 41–45.
- Naber, D., Weinberger, D. R., Gillespie, M., Chase, T. N. (1992) Failure of buspirone and verapamil to improve spasmodic torticollis. *J. Neuropsychiatry Clin. Neurosci.* **4(1)**, 82–84.
- Naranjo, C. A., Busto, U., Sellers, E. M., Sandor, P., Ruiz, I., Roberts, E. A., Janecek, E., Domecq, C., Greenblatt, D. J. (1981) A method for estimating the probability of adverse drug reactions. *Clin. Pharmacol. Ther.* **30(2)**, 239–245.
- Newton, R. E., Marunycz, J. D., Alderdice, M. T., Napoliello, M. J. (1986) Review of the side-effect profile of buspirone. *Am. J. Med.* **80(3B)**, 17–21.
- Paquette, M. A., Foley, K., Brudney, E. G., Meshul, C. K., Johnson, S. W., Berger, S. P. (2009) The sigma-1 antagonist BMY-14802 inhibits L-DOPA-induced abnormal involuntary movements by a WAY-100635 -sensitive mechanism. *Psychopharmacology (Berl.)* **204(4)**, 743–754.
- Patterson, J. F. (1988) Akathisia associated with buspirone. *J. Clin. Psychopharmacol.* **8(4)**, 296–297.
- Poyurovsky, M., Weizman, A. (1997) Serotonergic agents in the treatment of acute neuroleptic-induced akathisia: open-label study of buspirone and mianserin. *Int. Clin. Psychopharmacol.* **12(5)**, 263–268.
- Pranzatelli, M. R., Franz, D., Tate, E., Martens, J. M. (1993) Buspirone in progressive myoclonus epilepsy. *J. Neurol. Neurosurg. Psychiatry* **56(1)**, 114–115.
- Richter, A., Löscher, W. (1995) Behavioural response to pharmacologic manipulation of serotonin receptors in the genetically dystonic hamster. *Pharmacol. Biochem. Behav.* **52(4)**, 655–665.
- Ritchie, E. C., Bridenbaugh, R. H., Jabbari, B. (1988) Acute generalized myoclonus following buspirone administration. *J. Clin. Psychiatry* **49(6)**, 242–243.
- Rock, N. L. (1990) Possible adverse effects of buspirone when used with other psychotropic drugs. *J. Clin. Psychopharmacol.* **10(5)**, 380–381.
- Scholtissen, B., Verhey, F. R. J., Adam, J. J., Weber, W., Leentjens, A. F. G. (2006) Challenging the serotonergic system in Parkinson disease patients: Effects on cognition, mood, and motor performance. *Clin. Neuropharmacol.* **29(5)**, 276–285.
- Shireen, E. (2016) Experimental treatment of antipsychotic-induced movement disorders. *J. Exp. Pharmacol.* **8**, 1–10.
- Smith, J. M., Baldessarini, R. J. (1980) Changes in prevalence, severity, and recovery in tardive dyskinesia with age. *Arch. Gen. Psychiatry* **37(12)**, 1368–1373.
- Sokoloff, P., Le Foll, B. (2017) The dopamine D3 receptor, a quarter century later. *Eur. J. Neurosci.* **45(1)**, 2–19.
- Strauss, A. (1988) Oral dyskinesia associated with buspirone use in an elderly woman. *J. Clin. Psychiatry* **49(8)**, 322–323.
- Swett, C. Jr. (1975) Drug-induced dystonia. *Am. J. Psychiatry* **132(5)**, 532–534.
- Taylor, D. P. (1988) Buspirone, a new approach to the treatment of anxiety. *FASEB J.* **2(9)**, 2445–2452.
- Tompins, E., Clemento, A., Taylor, D. P., Perhach, J. (1980) Inhibition of aggressive behavior in rhesus monkeys by buspirone. *Res. Commun. Psychol. Psychiatr. Behav.* **5(4)**, 337–352.
- Wilson, T. K., Tripp, J. (2019) *Buspirone*. StatPearls [Internet], StatPearls Publishing, Treasure Island.
- Zhai, S., Tanimura, A., Graves, S. M., Shen, W., Surmeier, D. J. (2018) Striatal synapses, circuits, and Parkinson's disease. *Curr. Opin. Neurobiol.* **48**, 9–16.

Colonoscopic Finding of Patients with Lower Gastrointestinal Bleeding at Different Age Group in Eastern Part of India – An Observational Study

Jayanta Paul

Department of Gastroenterology, Desun Hospital and Heart Institute, Kolkata, India

Received November 9, 2019; Accepted February 17, 2020.

Key words: Lower GI bleeding – Eastern part of India – Etiologies – Hemorrhoids – Colon carcinoma – Anal fissure – Isolated rectal ulcer – Pancolitis – Colonoscopic findings

Abstract: Incidence of lower gastrointestinal (GI) bleeding (LGIB) is increasing over time. It can be seen in all age group patients, commonly associated with pre-existing comorbidities and is one of the common indications of colonoscopy. This study was done to identify common causes of LGIB in eastern part of India, because there is no previous study from Eastern India to identify the common causes of lower GI bleeding diagnosed by colonoscopy in different age group patients. Consecutive 64 patients with LGIB were included in this study from June 2018 to March 2019. We divided our study population into three groups, such as group A (20 years to 40 years), group B (41 years to 60 years), and group C (more than 60 years). Data were entered into Excel and then transferred into SPSS version 22 for statistical analysis. Mean age of study population was 49.83 ± 19.06 years. Normal colonoscopic finding was seen in 7 patients (10.9%). Most common colonoscopic findings of our study population were hemorrhoids ($n=32$; 50%), anal fissure ($n=11$; 17.2%) and isolated rectal ulcer ($n=9$; 14.1%). Colorectal growth was seen in 6 patients (9.4%), among them female patients were more commonly affected than male patients. Therefore, most common causes of LGIB in eastern part of India are hemorrhoids, anal fissure and isolated rectal ulcer. Male individuals are more commonly affected by LGIB.

Mailing Address: Jayanta Paul, MD., MBBS, DNB, C/o Jitendra Chandra Paul, J+B Lodge, Santosh Sarani, Banamali Pur, Barasat, Kolkata 700124, India; Phone: (+91) 834 898 40 88; e-mail: dr.jayantapaul@gmail.com

<https://doi.org/10.14712/23362936.2020.2>

© 2020 The Author. This is an open-access article distributed under the terms of the Creative Commons Attribution License (<http://creativecommons.org/licenses/by/4.0>).

Introduction

Lower gastrointestinal bleeding (LGIB) is gastrointestinal (GI) bleeding originated from a source distal to the ligament of Treitz and is commonly presented with hematochezia which is different from the clinical presentation of upper GI bleeding, which includes hematemesis and/or melena depending on the volume of bleeding and the speed of colonic transit. Approximately 85% of LGIB is from colon, 10% from bleeds are actually from upper gastrointestinal tract and present as hematochezia and 3–5% from small intestines (Dutta and Panda, 2008). Acute LGIB is arbitrarily defined as bleeding of less than three days duration leading to instability of vital signs, anemia, and/or need for blood transfusion, and chronic LGIB is defined as slow blood loss over a period of several days. Incidence of LGIB in the western countries ranges from 20.5 to 27 cases/100,000 adults. In comparison with the western countries, in India, LGIB patients are younger, mortality rate is lower and re-bleed rate is 4% (Farrell and Friedman, 2005). Lower GI bleeding has an annual incidence of hospitalization of approximately 36/100,000 population (Ghassemi and Jensen, 2013) and the colonoscopy is a primary method of investigation in presence of bleeding from lower GI tract (Dar et al., 2015; Oakland et al., 2019).

The etiology and the epidemiology of LGIB depend on the life style, dietary habits, smoking, history of drug intake, age, longevity of the population, etc. The most common cause of LGIB in UK is diverticular bleeding and the second most frequent diagnoses are hemorrhoids, fissures and rectal ulcers (Oakland et al., 2019). Diverticular disease is the most common cause of LGIB in Brazil, followed by polyps, malignancy, inflammatory bowel disease and angiodysplasia (de Souza e Benevides and dos Santos, 2016).

In Asia, however, colon diverticulosis is not common and is a much less common cause of LGIB. In the Indian experience, the etiology differs significantly. Growth/polyp are the most common colonoscopic finding in Jammu and Kashmir, India followed by inflammatory bowel lesions (Dar et al., 2015). An internal hemorrhoid is the most common cause of LGIB followed by ulcerative colitis in South India (Badiger et al., 2017). A study from USA showed that diverticular bleeding (37%) was the most common cause of severe LGIB followed by ischemic colitis (13.2%), delayed post polypectomy induced bleeding (11.1%), rectal ulcer (8.9%), internal hemorrhoids (6.4%) and colon angiomas (6.4%) (Camus et al., 2017). Another study from western country discovered that the most common causes of LGIB were diverticulosis and ischemic colitis (Diamantopoulou et al., 2017). Study from Middle East indicated that the most common colonoscopic findings of LGIB were hemorrhoids followed by diverticulosis, neoplasm, rectal ulcer, colitis and polyps respectively, and colon was normal in 14.6% patients (Alruzug et al., 2016).

There is no previous study from Eastern India to find out the common causes of lower GI bleeding in different age group patients diagnosed by colonoscopy. Aim of this study is to fill up this gap.

Material and Methods

Colonoscopies in patients with lower gastrointestinal bleeding were prospectively evaluated from June 2018 to March 2019 in the endoscopy unit of Divine Nursing Home, Kolkata, India. This study included 64 patients aged 18 years or over, who presented with hematochezia, melena with normal upper GI Endoscopy. Patients with poor bowel preparation and incomplete examination were excluded. All patients were advised to take liquid diet at dinner along with two 10 mg of bisacodyl tablets on the day before procedure, while fasting over midnight. The medication used for bowel preparation in this study was two bottles of coloprep solution (each bottle contains magnesium sulphate 3.13 g + potassium 1.6 g + sodium chloride 17.5 g in 177 ml of solution). 177 ml of each bottle of coloprep solution was mixed with 573 ml of drinking water to make it 750 ml. The Boston bowel preparation scale (BBPS) was used as bowel cleanliness rating scale. When required, tissue from the colonic lesion was sent for histopathological diagnosis. The following variables were studied: gender, age, coloscopic diagnosis, histopathologic diagnosis, site of the lesion. All colonoscopies were performed by using Olympus colonoscope. We divided our study population into three groups, such as group A (20 years to 40 years), group B (41 years to 60 years), group C (more than 60 years). Data were entered into Excel and then transferred into SPSS version 22 for statistical analysis. Continuous value is expressed in the form of means \pm SD, while categorical data is expressed in the form of count and percent.

Results

Out of 64 patients with LGIB, 42 (65.6%) were male and 22 (34.4%) were female. Mean age of this study population was 49.83 ± 19.06 years. Normal colonoscopic finding was seen in 7 patients (10.9%). Most common findings of our study population were hemorrhoids (n=32; 50%) (Figure 1), anal fissure (n=11; 17.2%) (Figure 2) and isolated rectal ulcer (n=9; 14.1%) (Figure 3). Anal fissure (18.2% vs. 11.9%) and rectal ulcer (9.1% vs. 4.8%) were more commonly seen in female patients than male patients. Colorectal growth (Figure 4) was seen in 6 patients (9.4%), among them female patients were more commonly affected than male patients (9% vs. 4.8%) (Table 1, Figure 5).

In our study, maximum number of patients (n=23) were in group C (more than 60 years) and group A (20 to 40 years). In group C, common causes of LGIB were hemorrhoid (n=13; 56.5%), rectal ulcer (n=5; 21.7%), telangiectasia (n=3; 13%) (Figure 6), diverticulae (n=2; 8.7%), anal fissure (n=2; 8.7%), colorectal growth (n=1; 4.3%) and pancolitis (n=1; 4.3%) (Figure 7).

In group B (41 to 60 years), among 18 patients, 12 (66.7%) and 6 (33.3%) were male and female respectively. Most common cause of LGIB in group B was hemorrhoids (n=9; 50%) and other causes were anal fissure (n=4; 22.2%), colorectal growth (n=3; 16.7%), rectal ulcer (n=1; 5.6%), pancolitis (n=1; 5.6%). Normal colonoscopic finding was in 4 patients (22.2%) in group B (Figure 8).



Figure 1 – Hemorrhoids.

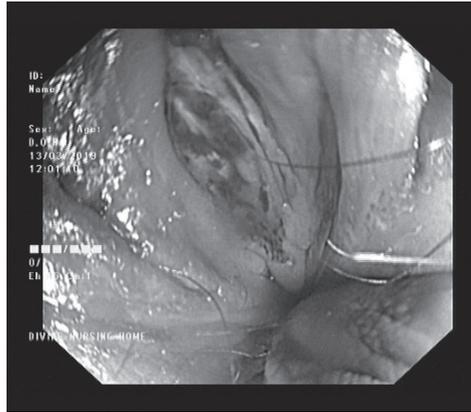


Figure 2 – Anal fissure.

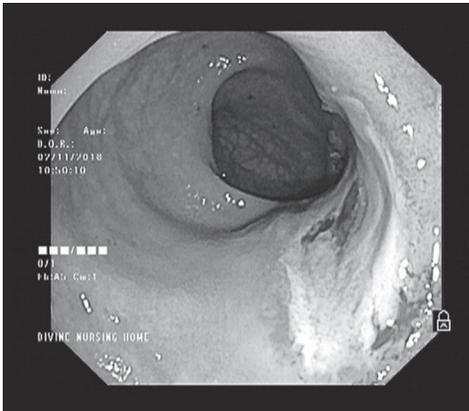


Figure 3 – Isolated rectal ulcer.

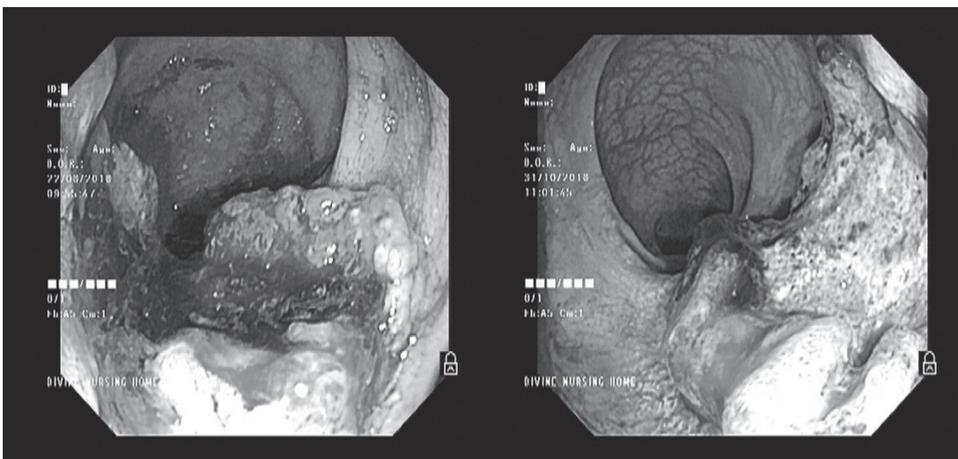
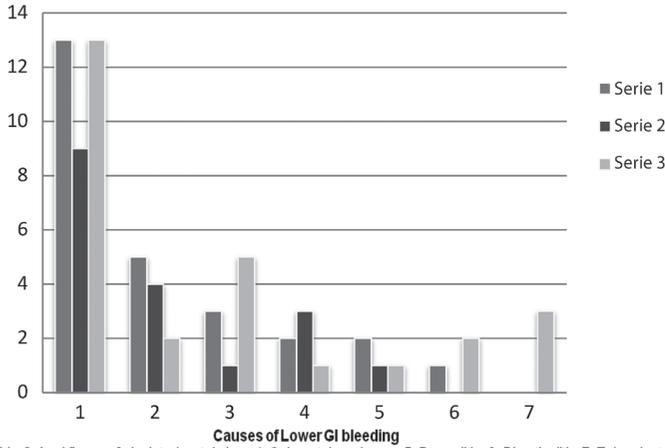


Figure 4 – Rectal growth.

Table 1 – Colonoscopic findings of patients with lower gastrointestinal bleeding

Group	Number	Male	Female	Hemor- rhoids	Anal fissure	Isolated rectal ulcer	Colorectal carcinoma	Pancolitis	Diverti- culosis	Telan- giectasia
Group (A + B + C)	n=64	42 (65.6%)	22 (34.4%)	32 (50%)	11 (17.2%)	9 (14.1%)	6 (9.4%)	4 (6.25%)	3 (4.68%)	3 (4.68%)
Group A (20 to 40 years)	n=23	16 (69.9%)	7 (30.4%)	13 (56.5%)	5 (21.7%)	3 (13%)	2 (8.7%)	2 (8.7%)	1 (4.3%)	0
Group B (41 to 60 years)	n=18	12 (66.7%)	6 (33.3%)	9 (50%)	4 (22.2%)	1 (5.6%)	3 (16.7%)	1 (5.6%)	0	0
Group C (>60 years)	n=23	14 (60.7%)	9 (39.2%)	13 (56.5%)	2 (8.7%)	5 (21.7%)	1 (4.3%)	1 (4.3%)	2 (8.7%)	3 (13%)



1: Hemorrhoids; 2: Anal fissure; 3: Isolated rectal ulcer; 4: Colorectal carcinoma; 5: Pan colitis; 6: Diverticulitis; 7: Telangiectasia

Figure 5 – Comparison of causes of lower gastrointestinal bleeding among different groups (series 1: group A, series 2: group B, series 3: group C).

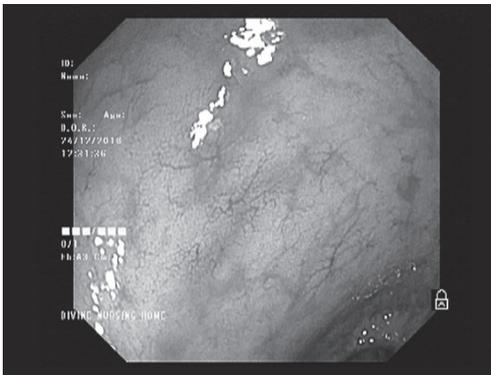
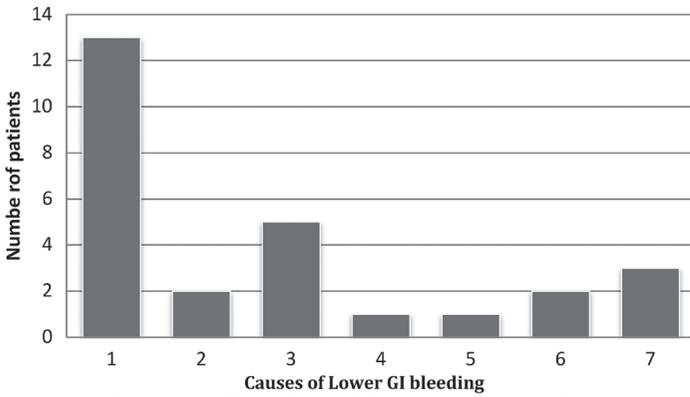


Figure 6 – Telangiectasia.



1: Hemorrhoids; 2: Anal fissure; 3: Isolated rectal ulcer; 4: Colorectal carcinoma; 5: Pan colitis; 6: Diverticulitis; 7: Telangiectasia

Figure 7 – Causes of lower gastrointestinal bleeding in group C (more than 60 years) patients.



Figure 8 – Causes of lower gastrointestinal bleeding in group B (41 years to 60 years) patients.

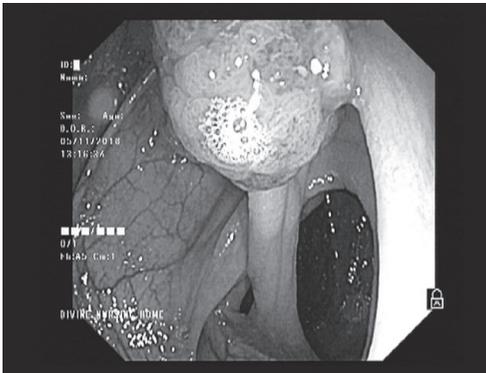


Figure 9 – Colon polyp.

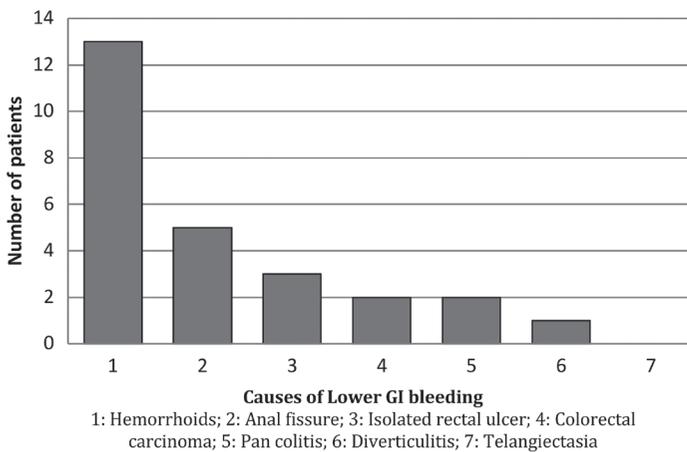


Figure 10 – Causes of lower gastrointestinal bleeding in group A (20 years to 40 years) patients.

In group A (20 to 40 years), out of 23 patients, male and female patients were 16 (69.9%) and 7 (30.4%), respectively. Hemorrhoids was most common cause of LGIB (n=13; 56.5%) in this group. Other causes of LGIB were anal fissure (n=5; 21.7%), rectal ulcer (n=3; 13%), colorectal growth (n=2; 8.7%), colon polyp (n=1; 4.3%) (Figure 9), pancolitis (n=2; 8.7%), diverticulae (n=1; 4.3%) (Figure 10).

Discussion

The clinical course of LGIB can vary widely from occult bleeding to massive life-threatening hemorrhage and even death but most patients who are having LGIB have favorable outcome, self-limited course and usually stopped spontaneously. Most of the LGIB patients think that the bleeding is from hemorrhoids and take some conservative measures, and some patients are worried and anxious about the malignancy until a diagnosis is reached. Causes of LGIB show marked geographic variation. Though studies from different parts of India (Dar et al., 2015; Camus et al., 2017) showed different common causes of LGIB, our study is supported by one study from South India (Badiger et al., 2017). Hajare and Kantamaneni (2018) in their study identified that hemorrhoids (48%) followed by ulcerative colitis (24%) were the most common colonoscopic findings in patients with LGIB. Another study from South India revealed that the most common causes of LGIB in patients older than 60 years (group C) were colorectal carcinoma, followed by colitis, hemorrhoids (Morkar and Hazare, 2017), but in our study, patients older than 60 years had hemorrhoids as most common cause of LGIB followed by rectal ulcer and telangiectasia, and colorectal carcinoma was more common in group B patients. One study from Europe (Fernández et al., 1996) indicated that more frequent colonoscopic findings were polyps and diverticulae in LGIB patients. In our study, in all age group hemorrhoids followed by anal fissure were the most common causes of LGIB.

One study from Iran (Khodadoostan et al., 2018) showed that most common causes of LGIB in patients younger than 50 years were hemorrhoids followed by adenoma and diverticulae but in our study, hemorrhoids followed by anal fissure and isolated rectal ulcer were the most common findings in colonoscopy.

Colorectal growth was more common in group B than group A and C in our study and mean age of patient suffering from colorectal growth was 47 ± 12.56 years as seen in other study from India which showed mean age of 43 years (Sudarshan et al., 2013). Pancolitis was seen most commonly in group B as seen in other study (Quezada and Cross, 2012). Solitary rectal ulcerations were more common in group C followed by group A and B, and most of them have one or more of the following predisposing factors: constipation, straining during defecation and digital evacuation.

In our study, telangiectasia was seen in 4.68% of 64 patients and commonly seen in age more than 60 years (13% of group C). Zia et al. (2008) supported our study by showing 1% colonoscopic finding of telangiectasia in their research.

Main limitation of our study was small number of patients in study population; therefore large-scale study is required to validate the findings of this study.

Conclusion

Most common causes of LGIB in eastern part of India are hemorrhoids, anal fissure and isolated rectal ulcer. Male individuals are more commonly affected by LGIB. Colonic growth was seen more commonly in female patients. Any LGIB patient requires colonoscopy to identify the underlying cause of bleeding.

References

- Alruzug, I. M., Aldarsouny, T. A., Semaan, T., AlMustafa, A. (2016) Lower gastrointestinal bleeding in Saudi patients: a retrospective longitudinal study. *J. Gastrointest. Dig. Syst.* **6**, 410.
- Badiger, R. H., Hajare, S., Kantamaneni, R., Kole, A., Deebanshu (2017) Etiological profile of patients presenting with lower gastrointestinal bleeding at tertiary care hospital at Belagavi: a cross sectional study. *Int. J. Adv. Med.* **4**, 1429–1433.
- Camus, M., Jensen, D. M., Ohning, G. V., Kovacs, T. O., Jutabha, R., Ghassemi, K. A., Machicado, G. A., Dulai, G. S., Jensen, M. E., Gornbein, J. A. (2017) Comparison of three risk scores to predict outcomes of severe lower gastrointestinal bleeding. *J. Clin. Gastroenterol.* **50**, 52–58.
- Dar, I. A., Dar, W. R., Khan, M. A., Kasana, B. A., Sofi, N. U., Hussain, M., Arshad, F., Wani, M. A., Latief, M., Sodhi, J. S. (2015) Etiology, clinical presentation, diagnosis and management of lower gastrointestinal bleed in a tertiary care hospital in India: A retroprospective study. *J. Dig. Endosc.* **6**, 101–109.
- de Souza e Benevides, I. B., dos Santos, C. H. M. (2016) Colonoscopy in the diagnosis of acute lower gastrointestinal bleeding. *J. Coloproctol.* **36**, 185–188.
- Diamantopoulou, G., Konstantakis, C., Kottorou, A., Skroubis, G., Theocharis, G., Theopistos, V., Triantos, C., Nikolopoulou, V., Thomopoulos, K. (2017) Acute lower gastrointestinal bleeding: Characteristics and clinical outcome of patients treated with an intensive protocol. *Gastroenterology Res.* **10**, 352–358.
- Dutta, G., Panda, M. (2008) An uncommon cause of lower gastrointestinal bleeding: a case report. *Cases J.* **1**, 235.
- Farrell, J. J., Friedman, L. S. (2005) Review article: The management of lower gastrointestinal bleeding. *Aliment. Pharmacol. Ther.* **21**, 1281–1298.
- Fernández, E., Linares, A., Alonso, J. L., Sotorrio, N. G., de la Vega, J., Artimez, M. L., Giganto, F., Rodríguez, M., Rodrigo, L. (1996) Colonoscopic findings in patients with lower gastrointestinal bleeding send to a hospital for their study. Value of clinical data in predicting normal or pathological findings. *Rev. Esp. Enferm. Dig.* **88**, 16–25.
- Ghassemi, K. A., Jensen, D. M. (2013) Lower GI bleeding: Epidemiology and management. *Curr. Gastroenterol. Rep.* **15**, 333.
- Hajare, S., Kantamaneni, R. (2018) Etiological profile of patients with lower gastrointestinal bleeding: A 1-year cross-sectional study. *Arch. Med. Health Sci.* **6**, 300–302.
- Khodadoostan, M., Shavakhi, A., Padidarnia, R., Shavakhi, A., Ahmadian, M. (2018) Full colonoscopy in patients under 50 years old with lower gastrointestinal bleeding. *J. Res. Med. Sci.* **23**, 45.
- Morkar, D. N., Hazare, S. (2017) Spectrum of the causes of lower gastrointestinal bleeding in geriatric patients in tertiary care hospital. *J. Sci. Soc.* **44**, 148–151.
- Oakland, K., Chadwick, G., East, J. E., Guy, R., Humphries, A., Jairath, V., McPherson, S., Metzner, M., Morris, A. J., Murphy, M. F., Tham, T., Uberoi, R., Veitch, A. M., Wheeler, J., Regan, C., Hoare, J. (2019)

Diagnosis and management of acute lower gastrointestinal bleeding: Guidelines from the British Society of Gastroenterology. *Gut* **68**, 776–789.

Quezada, S. M., Cross, R. K. (2012) Association of age at diagnosis and ulcerative colitis phenotype. *Dig. Dis. Sci.* **57**, 2402–2407.

Sudarshan, V., Hussain, N., Gahine, R., Mourya, J. (2013) Colorectal cancer in young adults in a tertiary care hospital in Chhattisgarh, Raipur. *Indian J. Cancer* **50**, 337–340.

Zia, N., Hussain, T., Salamat, A., Mirza, S., Hassan, F., Waqar A. (2008) Diagnostic evaluation of patients presenting with bleeding per rectum by colonoscopy. *J. Ayub Med. Coll. Abbottabad* **20**, 73–76.

The Prevalence of Absolute and Functional Iron Deficiency Anemia in New Cases of Smear-positive Pulmonary Tuberculosis and Their Sputum Conversion Rate at the End of Intensive Tuberculosis Treatment Phase

Maliheh Metanat¹, Mohammad Ali Mashhadi², Roya Alavi-Naini¹, Leli Rezaie-Kahkhaie³, Nahid Sepehri-Rad¹, Mahdi Afshari⁴

¹Infectious Diseases and Tropical Medicine Research Center, Resistant Tuberculosis Institute, Zahedan University of Medical Sciences, Zahedan, Iran;

²Department of Internal Medicine, Zahedan University of Medical Sciences, Zahedan, Iran;

³Department of Infectious Diseases, Zabol University of Medical Sciences, Zabol, Iran;

⁴Department of Community Medicine, Zabol University of Medical Sciences, Zabol, Iran

Received October 16, 2019; Accepted February 17, 2020.

Key words: Tuberculosis – Smear-positive – Absolute iron deficiency anemia – Functional iron deficiency anemia – Sputum conversion

Abstract: About one third of the population is infected with tuberculosis (TB). On the other hand, iron deficiency is the most common micronutrient deficiency in the world. A number of studies have documented anemia in patients with TB, however, this study aimed to assess the prevalence of iron deficiency anemia (IDA) in patients with acid-fast bacilli (AFB) sputum smear-positive, and sputum conversion in these two groups of patients with absolute and functional IDA at the end of the second month of anti-TB therapy in Zahedan, Iran. The results of this study revealed that 91 out of 198 (45.9%) sputum positive pulmonary TB patients were anemic, and among those 72 (79.1%) had iron deficiency anemia. The overall prevalence of IDA in this study was 36.3%. In 72 patients with IDA, 54 (75%) had functional while the

Mailing Address: Maliheh Metanat, MD., Infectious Diseases and Tropical Medicine Research Center, Resistant Tuberculosis Institute, Zahedan University of Medical Sciences, Zahedan, Iran; Phone: 0098 543 322 81 01–2; e-mail: mmetanat16@gmail.com

<https://doi.org/10.14712/23362936.2020.3>

© 2020 The Authors. This is an open-access article distributed under the terms of the Creative Commons Attribution License (<http://creativecommons.org/licenses/by/4.0>).

remainder had absolute IDA 18 (25%). Twenty-one out of 72 (29.2%) of patients with IDA remained sputum positive and among 126 non IDA patients 47 (37.3%) had positive sputum smear at the end of intensive TB treatment phase ($p=0.278$). Approximately, less than half of patients with tuberculosis had anemia among them 79% had iron deficiency anemia. The frequency of functional IDA was three times more than absolute IDA. There was no statistically significant difference in sputum conversion between two groups of IDA and non-IDA patients after intensive phase of anti-TB therapy.

Introduction

Tuberculosis (TB) is still an important global health problem and kills about two million people annually. About a quarter of the world population is infected with TB (Moscow Declaration to End TB, 2017). Tuberculosis can present with a variety of hematological manifestations. A variety of factors have been suggested for TB-associated anemia, but the main causes attributed to it include suppression of erythropoiesis by inflammatory mediators, nutritional deficiency failure of iron utilization, and bone marrow suppression (Olaniyi and Aken'Ova, 2003; Lee et al., 2006; Zadeh et al., 2013).

Globally, iron deficiency is considered the most important contributor to the development of anemia, but other causes often coexist. Anemia has been reported in 16% to 94% of patients with pulmonary TB (Roberts et al., 1966; Cameron and Horne, 1971; Lee et al., 2006). In addition, it should be considered that iron deficiency has been associated with impaired immune function and reduced capacity to control infection (Dallman, 1987; Oppenheimer, 2001).

Functional iron deficiency is a state in which there is insufficient iron incorporation into erythroid precursors with adequate body iron stores which is detected by the presence of stainable iron in the bone marrow as well as a serum ferritin value within normal limits (Wish, 2006).

However, absolute iron deficiency anemia is characterized by low or absent bone marrow staining for iron and is distinguished from functional or relative iron deficiency, which is defined as a response to intravenous iron with an increase in hemoglobin (Hb) or a decrease in erythropoiesis-stimulating agent (ESA) requirement (Wish, 2006; Thomas et al., 2013).

If iron deficiency is an important factor related to TB-associated anemia, providing supplemental iron may be useful to increase blood hemoglobin concentrations and improve clinical outcomes in TB patients. Iron deficiency anemia was associated with a nearly 2-fold independent increase in the risk of death in a randomized clinical trial in patients with pulmonary TB in Tanzania, also showed that anemia at the initiation of tuberculosis therapy is responsible for delayed sputum conversion among pulmonary tuberculosis patients (Nagu et al., 2014).

Several studies have been conducted in this context to study the status of iron deficiency anemia in patients who had TB (Roberts et al., 1966; Cameron and

Horne, 1971; Oppenheimer, 2001). However, limited studies were carried out to demonstrate the type of IDA (functional and absolute) in TB patients and conversion rate after anti-TB treatment in these patients.

Therefore, this study aimed to investigate the prevalence of absolute and functional IDA in sputum smear-positive pulmonary TB patients and to demonstrate response to TB chemotherapy at the end of second month of treatment.

Material and Methods

This cross-sectional descriptive study was conducted between March 2016 and March 2017 in Zahedan, south-eastern Iran. After approving the project and getting approval from the Ethics Committee of Zahedan University of Medical Sciences, informed consent were obtained from all patients with the diagnosis of sputum smear-positive pulmonary tuberculosis and all of them were recruited into the study.

The inclusion criteria were as follow: smear-positive pulmonary tuberculosis patients over 14 years old with hemoglobin (Hb) less than 13 g/dl for male and less than 12 g/dl for female; and exclusion criteria were history of blood transfusion or blood donation in the last 3 months, history of recent iron supplementation, history of previous TB and anti-tuberculosis treatment, known chronic diseases such as hepatitis, AIDS, diabetes, cancer, or other inflammatory diseases, major and bilateral cavitory lesions in lungs, history of addiction, and Hb less than 9 g/dl. Functional iron deficiency anemia is defined as transferrin saturation (TSAT) less than 20% with ferritin levels above 40 micrograms per liter and absolute iron deficiency anemia is described as TSAT less than 20% with ferritin levels below 40 micrograms per liter (Hashemi et al., 2017).

For each patient, at the beginning of study and before standard anti-TB treatment (rifampin, pyrazinamide, isoniazid, and ethambutol), serum iron (SI), total iron binding capacity (TIBC), complete blood count (CBC), and ferritin, were requested. Patients who faced the criteria of IDA were divided into two groups of absolute or functional iron deficiency anemia according to Table 1. After two months of anti-TB treatment, three sputum smears were collected in three consecutive days, and the positive and negative cases were recorded using direct smear test and acid-fast staining. Finally, data obtained from the patients were analysed using descriptive statistics, chi-square and *t*-test in SPSS software (version 19, SPSS Inc., Chicago, IL, USA). $P < 0.05$ was considered significant.

Table 1 – Criteria for functional and absolute iron deficiency anemia

Parameters	Functional IDA	Absolute IDA
Hb	low	low
TSAT	low	low
Ferritin	normal-high	low

IDA – iron deficiency anemia; Hb – hemoglobin; TSAT – transferrin saturation

Results

Overall, 217 patients were examined in this study amongst those 198 new smear-positive TB patients were enrolled according to inclusion and exclusion criteria. Ninety-one (45.9%) of the patients had anemia (hemoglobin below 13 g/dl in men and 12 g/dl in women). Based on IDA criteria and considering the transferrin saturation less than 20%, seventy-two out of 91 patients had iron deficiency anemia. Therefore, the overall prevalence of IDA was 36.3 percent.

The mean age of patients with IDA was 51.38 ± 14.88 years of whom 35 (48.6%) were male and 37 (51.4%) were female. The frequency of functional iron deficiency anemia was 54 (75%) and absolute IDA was reported 18 (25%). The comparison between functional and absolute IDA, based on gender, age and duration of TB symptoms before treatment were not significantly different in TB patients ($p=0.341$, $p=0.887$, $p=0.750$, respectively) (Table 2).

At the end of two-month anti-TB therapy, 68 patients remained smear positive (37.3% with IDA compared to 29.2% without IDA). The difference of sputum conversion in TB patients with and without IDA was not statistically significant ($p=0.278$) (Table 3).

Table 2 – Distribution of gender, mean age and duration of symptoms in sputum smear-positive tuberculosis patients with iron deficiency anemia

		Functional IDA	Absolute IDA	Total	P-value
Gender	male	28 (51.9%)	7 (38.9%)	35 (48.6%)	0.341
	female	26 (48.1%)	11 (61.1%)	37 (51.4%)	
	total	54 (100%)	18 (100%)	72 (100%)	
Age (year) \pm SD		51.44 \pm 13.99	51.94 \pm 13.16	51.37 \pm 14.88	0.877
Duration of symptoms (day) \pm SD		24.70 \pm 8.07	24.00 \pm 8.06	24.38 \pm 7.66	0.750

IDA – iron deficiency anemia; SD – standard deviation

Table 3 – Sputum conversion rate at the end of the second month in TB patients with and without iron deficiency anemia

Smear results	IDA	Non-IDA	Total	P-value
+	21 (29.2%)	47 (37.3%)	68 (34.3%)	0.278
-	51 (70.8%)	79 (62.7%)	130 (65.7%)	
total	72 (100%)	126 (100%)	198 (100%)	

TB – tuberculosis; IDA – iron deficiency anemia

Table 4 – Sputum conversion rate of positive smear at the end of the second month in TB patients with functional and absolute IDA

Smear results	Functional IDA	Absolute IDA	Total	P-value
+	15 (27.8%)	6 (33.3%)	21 (29.2%)	0.766
–	39 (72.2%)	12 (66.7%)	51 (70.8%)	
total	54 (100%)	18 (100%)	72 (100%)	

TB – tuberculosis; IDA – iron deficiency anemia

The frequency of positive sputum smear after 2 months of treatment with anti-TB in patients with functional and absolute IDA was 15 (27.8%) and 6 (33.3%), respectively with no significant difference ($p=0.766$) (Table 4).

Discussion

Tuberculosis remains a public health threat, especially in developing countries and is still a major cause of death and suffering worldwide. This devastating disease is much higher among people infected with HIV, and also higher among people affected by risk factors such as under-nutrition, diabetes and smoking (Antonucci et al., 1995; Espinal et al., 2000; Ferrara et al., 2012). On the other hand, anemia is also a major public health problem in many parts of the world. According to a study done by World Health Organization (WHO) on anemia, worldwide prevalence of anemia was 25% from 1993 to 2005 (World Health Organization, 2008). Nutritional anemia is a serious health problem globally which is primarily due to iron deficiency. The prevalence of anemia in all patients with TB was reported between 30–94% in several studies (Cameron and Horne, 1971; Oppenheimer, 2001; Lee et al., 2006). Iron deficiency may also contribute to the development of TB disease because iron deficiency compromises the immune function and reduced body capacity against infection control.

In our study we evaluated TB patients who had IDA in Zahedan, a big city situated in Sistan and Baluchestan, south-eastern Iran with the highest prevalence of TB in Iran. The incidence of all form of TB and sputum smear positive pulmonary TB was estimated 30.21 and, 19.03 per 100,000 populations, respectively in the year 2017 in Zahedan (Center for Disease Control and Prevention, 2019).

Based on the results of this study it was found that nearly half of our patients were anemic and most of them (79.1%) had IDA. The overall prevalence of iron deficiency anemia in this study was 36.3%.

According to the study done by Isanaka et al. (2012), the overall prevalence of anemia in TB patients was 64% that more than one-half of them were related to IDA. The results of this study showed no association between overall anemia or iron deficiency anemia at baseline and the risk of treatment failure at 1 month after initiation. The prevalence of anemia in our study was less than the mentioned study,

but similar to our study, the majority of the patients had IDA and we did not find any association between two groups of IDA and non-IDA for sputum conversion.

In a study conducted by Lee et al. (2006), anemia was mostly associated with the female and older age and during or after anti-TB treatment, anemia was resolved in 64.6% of patients without iron intake. On the contrary, we did not find any significant relationship based on gender in the two groups of IDA and non-IDA patients and the mean ages of our patients were similar.

In another study, in female with pulmonary tuberculosis in their reproductive age, 67.5% had IDA and using tardiferon helped in enhancing the efficiency of treatment for tuberculosis in the presence of IDA (Mukhtarov and Sultanova, 2009).

It seems that geographical areas and nutritional base of the patients play important roles to determine the prevalence of IDA in TB patients and subsequently, predict their response to tuberculosis treatment (Cegielski and McMurray, 2004; Mulenga et al., 2017). Approximately, more than one-third of our TB patients had IDA and it seems reasonable that most of them owned the criteria for functional IDA which is more prevalent than absolute IDA. Expectedly, functional iron deficiency is much more prevalent than absolute iron deficiency. Based on epidemiological studies on iron deficiency showed prevalence rates varying between 29–46% for functional iron deficiency and for iron deficiency-associated anemia prevalence rates between 7–42% (Kuvibidila et al., 2004; Ludwig et al., 2013).

Being underweight has been associated with a higher risk of tuberculosis in developing countries. The fact that undernourishment can also influence iron metabolism, it would have been better to calculate BMI (body mass index) which is one of the limitations of this study.

Although the results of our study did not show any association between sputum conversion and treatment response in IDA and non-IDA patients, further research with larger sample size in different geographical regions is required to increase reliability of the studies.

Acknowledgements: The authors thank to the Deputy of Research and Technology of Zahedan University of Medical Sciences for the financial support of this study.

References

- Antonucci, G., Girardi, E., Raviglione, M. C., Ippolito, G. (1995) Risk factors for tuberculosis in HIV-infected persons: a prospective cohort study. *J. Am. Med. Assoc.* **274**(2), 143–148.
- Cameron, S. J., Horne, N. W. (1971) The effect of tuberculosis and its treatment on erythropoiesis and folate activity. *Tubercle* **52**, 37–48.
- Cegielski, J. P., McMurray, D. N. (2004) The relationship between malnutrition and tuberculosis evidence from studies in humans and experimental animals. *Int. J. Tuberc. Lung Dis.* **8**, 286–298.
- Center for Disease Control and Prevention (2019) *Tuberculosis Status*. Center for Disease Control and Prevention (Division of TB and Leprosy Elimination), Ministry of Health and Medical Education, Iran. Available at: http://tb-lep.behdasht.gov.ir/TB_Situation_in_Iran.aspx (in Persian)
- Dallman, P. R. (1987) Iron deficiency and the immune response. *Am. J. Clin. Nutr.* **46**, 329–334.

- Espinal, M. A., Peréz, E. N., Baéz, J., Hénríquez, L., Fernández, K., Lopez, M., Olivo, P., Reingold, A. L. (2000) Infectiousness of *Mycobacterium tuberculosis* in HIV-1-infected patients with tuberculosis: a prospective study. *Lancet* **355(9200)**, 275–280.
- Ferrara, G., Murray, M., Winthrop, K., Centis, R., Sotgiu, G., Migliori, G. B., Maeurer, M., Zumla, A. (2012) May risk factors associated with pulmonary tuberculosis: smoking, diabetes and anti-TNF α drugs. *Curr. Opin. Pulm. Med.* **18(3)**, 233–240.
- Hashemi, S. M., Mashhadi, M. A., Mohammadi, M., Ebrahimi, M., Allahyari, A. (2017) Absolute and functional iron deficiency anemia among different tumors in cancer patients in south part of Iran. *Int. J. Hematol. Oncol. Stem Cell Res.* **11(3)**, 192–198.
- Isanaka, S., Mugusi, F., Urassa, W., Willett, W. C., Bosch, R. J., Villamor, E., Spiegelman, D., Duggan, C., Fawzi, W. W. (2012) Iron deficiency and anemia predict mortality in patients with tuberculosis. *J. Nutr.* **142(2)**, 350–357.
- Kuvibidila, S. R., Gauthier, T., Rayford, W. (2004) Serum ferritin levels and transferrin saturation in men with prostate cancer. *J. Natl. Med. Assoc.* **96**, 641–649.
- Lee, S. W., Kang, Y. A., Yoon, Y. S., Um, S. W., Lee, S. M., Yoo, C. G., Kim, Y. W., Han, S. K., Shim, Y. S., Yim, J. J. (2006) The prevalence and evolution of anemia associated with tuberculosis. *J. Korean Med. Sci.* **21(6)**, 1028–1032.
- Ludwig, H., Müldür, E., Endler, G., Hübl, W. (2013) Prevalence of iron deficiency across different tumors and its association with poor performance status, disease status and anemia. *Ann. Oncol.* **24(7)**, 1886–1892.
- Moscow Declaration to End TB (2017) *First WHO Global Ministerial Conference on Ending TB in the Sustainable Development Era: A Multisectoral Response*. Geneva, World Health Organization and the Ministry of Health of the Russian Federation. Available at: https://www.who.int/tb/features_archive/Moscow_Declaration_to_End_TB_final_ENGLISH.pdf?ua=1
- Mukhtarov, D. Z., Sultanova, R. A. (2009) The specific features of the clinical course of tuberculosis and enhancement of its treatment efficiency in fertile-age women with iron-deficiency anemia. *Tuberk. Bolezni Legkih* **2009(12)**, 45–50. (in Russian)
- Mulenga, C. M., Kayembe, J. M. N., Kabengele, B. O., Bakebe, A. (2017) Anemia and hematologic characteristics in newly diagnosed pulmonary tuberculosis patients at diagnosis in Kinshasa. *J. Tuberc. Res.* **5**, 243–257.
- Nagu, T. J., Spiegelman, D., Hertzmark, E., Aboud, S., Makani, J., Matee, M. I., Fawzi, W., Mugusi, F. (2014) Anemia at the initiation of tuberculosis therapy is associated with delayed sputum conversion among pulmonary tuberculosis patients in Dar-Es-Salaam, Tanzania. *PLoS One* **9**, e91229.
- Olaniyi, J. A., Aken'Ova, Y. A. (2003) Haematological profile of patients with pulmonary tuberculosis in Ibadan, Nigeria. *Afr. J. Med. Sci.* **32(3)**, 239–242.
- Oppenheimer, S. J. (2001) Iron and its relation to immunity and infectious disease. *J. Nutr.* **131**, 616S–636S.
- Roberts, P. D., Hoffbrand, A. V., Mollin, D. L. (1966) Iron and folate metabolism in tuberculosis. *Br. Med. J.* **5507**, 198–202.
- Thomas, D. W., Hinchliffe, R. F., Briggs, C., Macdougall, I. C., Littlewood, T., Cavill, I.; British Committee for Standards in Haematology (2013) Guideline for the laboratory diagnosis of functional iron deficiency. *Br. J. Haematol.* **161(5)**, 639–648.
- Wish, J. B. (2006) Assessing iron status: Beyond serum ferritin and transferrin saturation. *Clin. J. Am. Soc. Nephrol.* **1**, S4–S8 (Suppl. 1).
- World Health Organization (2008) *Worldwide Prevalence of Anaemia 1993–2005. WHO Global Database on Anaemia*. Available at: <http://www.who.int/iris/handle/10665/43894>
- Zadeh, J. H., Nasehi, M., Rezaianzadeh, A., Tabatabaee, H., Rajaeifard, A., Ghaderi, E. (2013) Pattern of reported tuberculosis cases in Iran 2009–2010. *Iran. J. Public Health* **42(1)**, 72–78.

Acute Massive Pulmonary Embolism with Direct Visualization of a Free-floating Right Heart Thrombus Successfully Treated with Fibrinolysis: A Case Report

**Elisavet Kaitalidou¹, Dimitrios Karapiperis², Vasileios Makrakis³,
Maria Kipourou⁴, Dimitrios Petroglou⁵**

¹Department of Internal Medicine, 424 General Military Hospital, Thessaloniki, Greece;

²Department of Infectious Diseases, 424 General Military Hospital, Thessaloniki, Greece;

³Intensive Care Unit, 424 General Military Hospital, Thessaloniki, Greece;

⁴Department of Pulmonology, 424 General Military Hospital, Thessaloniki, Greece;

⁵Coronary Care Unit, Department of Cardiology, 424 General Military Hospital, Thessaloniki, Greece

Received February 7, 2019; Accepted February 17, 2020.

Key words: Pulmonary embolism – Right heart thrombus – Fibrinolysis – Bedside echocardiography

Abstract: A male patient with a history of immobilization due to motor weakness, was transferred to our emergency department after syncope during physiotherapy, with recorded hypotension. Transthoracic echocardiography showed severe dilatation of the right ventricle (RV), with apex hypercontractility and almost akinetic RV free wall. The above findings, in addition to the unexpected visualization of a large, free-floating, right atrial thrombus, a rare finding associated with high mortality, readily confirmed the clinical suspicion of acute pulmonary embolism (PE) causing circulatory collapse. Intravenous fibrinolysis and vasopressor therapy were successfully administered, and hemodynamic instability was soon alleviated.

Mailing Address: Dimitrios Petroglou, MD., PhD., Coronary Care Unit, Department of Cardiology, 424 General Military Hospital, Thessaloniki Ring Road, N. Efkarpia, Thessaloniki 56429, Greece; Phones: (+30) 231 038 12 93, (+30) 697 222 20 87; e-mail: dimpetroglou@yahoo.gr

Introduction

Venous thromboembolism is a major health problem with acute pulmonary embolism (PE) being its most serious clinical presentation (Cohen et al., 2007). PE occurs when a portion of a clot from a deep vein thrombosis breaks off, travels through the right heart, and eventually lodges in the pulmonary vasculature (Giordano et al., 2017). To this day, epidemiology of PE is difficult to determine, and its incidence is estimated around 100 to 200 cases per 100,000 people (Huang et al., 2014; Martinez et al., 2014). Well known risk factors that increase likelihood of developing PE include surgery, trauma, prolonged immobility, cancer and estrogen use (Giordano et al., 2017). Prompt diagnosis may be difficult, since its clinical signs and symptoms (dyspnea, pleuritic chest pain, cough) are non-specific. Arterial hypotension and shock are rare but important clinical presentations, indicating massive PE or severely reduced hemodynamic reserve. Given the fact that PE is a major cause of morbidity, mortality and hospitalization, when clinical presentation raises the suspicion of PE, further objective testing should be prompted (Konstantinides et al., 2014).

Case report

A 63-year-old male was transferred to our emergency department after syncope during physiotherapy, with recorded hypotension. He had a history of essential arterial hypertension [amlodipine 10 mg once daily (od), valsartan 160 mg od, nebivolol 2.5 mg od], dyslipidemia (simvastatin 10 mg od, ezetimibe 10 mg od), symptomatic hyperuricemia (allopurinol 100 mg od), depression (sertraline 50 mg od, lorazepam 1.25 mg od) and benign prostate hyperplasia (solifenacin 6 mg od, tamsulosin 0.4 mg od). He had also been diagnosed with Parkinsonism [levodopa 50 mg twice daily (bid), benserazide 12.5 mg bid, pramipexole 2.1 mg od] and syringohydromyelia with severe motor weakness, due to which he remained bedridden. The patient had been recently hospitalized because of progressive motor weakness and hyponatremia and was discharged on prophylactic anticoagulation therapy [3,500 international units (IU) of tinzaparin od] and physiotherapy recommendation.

Initial clinical examination revealed blood pressure of 70/50 millimetres of mercury (mm Hg), heart rate of 125 beats per minute, temperature of 36.6 °C and oxygen saturation of 97% on room air. His electrocardiogram showed sinus tachycardia with right bundle branch block pattern. Laboratory investigations were as follows: hemoglobin: 11.8 g/dl, total leucocyte count: 18,760 per μ l with 82.5% neutrophils, serum urea: 67.46 mg/dl, AST: 85 U/l, ALT: 93.2 U/l, LDH: 387.5 U/l, CRP: 1.01 mg/dl, potassium: 2.79 mmol/l, D-dimers of 2.23 mg/dl (upper normal limit: 0.05 mg/dl) and a negative troponin test.

Patient was conscious and hypotension was initially treated with fluid resuscitation, unsuccessfully. Because of recent history of immobilization, in conjunction with persistent hemodynamic instability and D-dimers count rise, acute

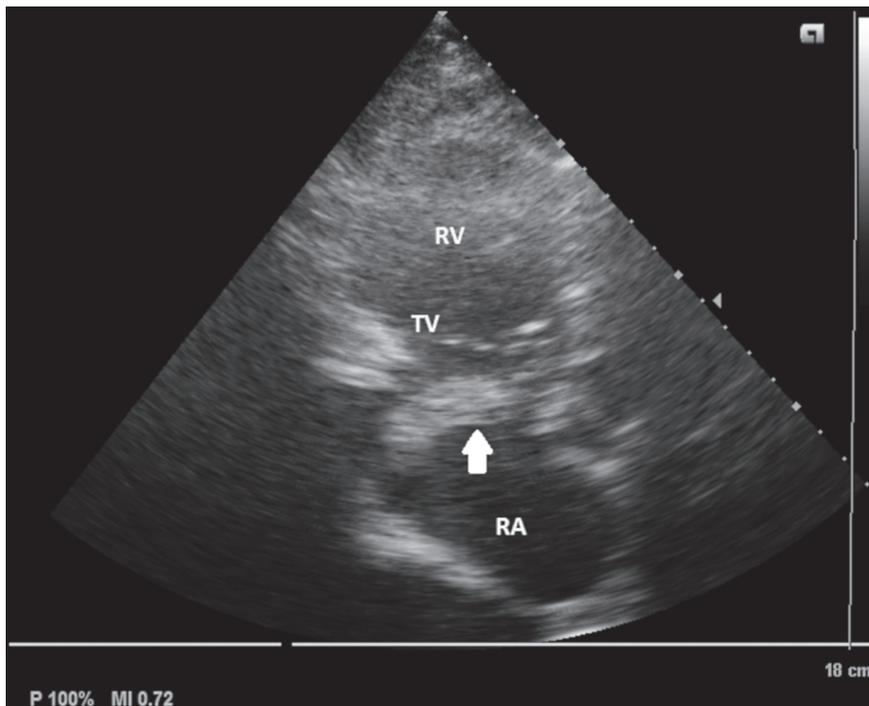


Figure 1 – Bedside echocardiography revealed a large, elongated, free-floating thrombus (white arrow) in the right atrium (RA – right atrium; TV – tricuspid valve; RV – right ventricle).

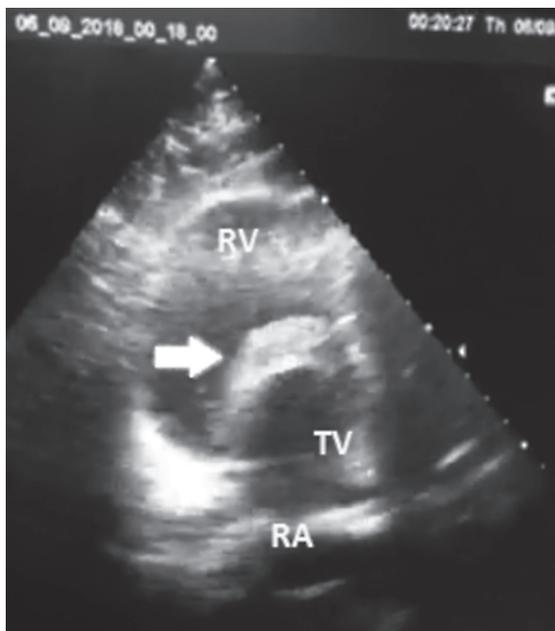


Figure 2 – Right atrial thrombus (white arrow) protruding through the tricuspid valve into the right ventricle, during end diastole (RA – right atrium; TV – tricuspid valve; RV – right ventricle).

PE was suspected, and bedside transthoracic echocardiography was performed. The RV (right ventricle) was severely dilated (right/left basal ventricular diameter ratio: 1.2), with apex hypercontractility, while RV free wall was almost akinetic (McConnell's sign). RV systolic pressure (RVSP) using tricuspid regurgitation flow was calculated 58 mm Hg. Findings above were highly indicative of massive PE. Unexpectedly, direct visualization of a large, elongated, free-floating, right atrial thrombus (Figure 1), originating from the inferior vena cava and protruding through the tricuspid valve during end-diastole (Figure 2), readily confirmed the diagnosis.

No further imaging was deemed necessary. Five thousand IU of unfractionated heparin were infused intravenously, and the patient was admitted to the coronary care unit (CCU). Since cardiothoracic surgery department is not available on site, and due to the patient's hemodynamic instability, fibrinolysis with 100 mg of intravenous recombinant tissue plasminogen activator (rtPA – Alteplase) was initiated, along with norepinephrine, a vasopressor with known beneficial effect on RV contractility. Fibrolytic and vasopressor therapy soon alleviated hemodynamic instability, while no right heart thrombus was visible one hour after fibrinolysis completion. Twelve hours later, vasopressor therapy was gradually withdrawn, and the patient started treatment with therapeutic dose of tinzaparin (14,000 IU od).

During his two-day stay in the CCU the patient remained hemodynamically stable. Computed tomography pulmonary angiogram (CTPA) before his discharge

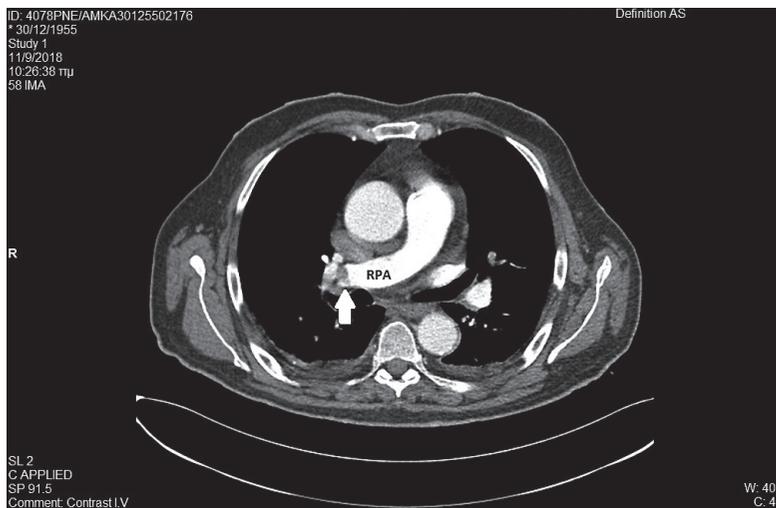


Figure 3 – CTPA after fibrinolysis and hemodynamic stabilization revealed a large, residual thrombus (white arrow) in the main branch of the right pulmonary artery (CTPA – computed tomography pulmonary angiogram; RPA – right pulmonary artery).

from the CCU to the ward, demonstrated a large thrombus in the main branch of the right pulmonary artery (Figure 3). Follow-up transthoracic echocardiography on the fifth hospital day revealed normal contractility of the RV, its size being marginally normal (right/left basal ventricular diameter ratio: 0.9), RVSP of 35 mm Hg and absence of thrombi in right heart chambers. After one week of hospitalization, patient was discharged on warfarin, with an international normalized ratio (INR) target of 2.5 (between two and three).

Discussion

In this report, we present a case of an acute massive PE with direct echocardiographic visualization of a large, free-floating, right atrial thrombus, protruding through the tricuspid valve during end-diastole. The differential diagnosis in our case, a combination of syncope and hemodynamic instability, included acute coronary syndrome, pulmonary embolism, acute valvular dysfunction, tamponade and aortic dissection. Bedside transthoracic echocardiography is the most useful initial test in this situation and, thus, it was immediately performed. The simultaneous presence of a mobile right heart thrombus (RiHT) with severe RV dysfunction essentially confirmed the diagnosis of PE (Konstantinides et al., 2014). RiHT can be detected by echocardiography in ~4% of PE patients, its presence being associated with high mortality (Torbicki et al., 2003; Koc et al., 2016). They can easily embolize to the pulmonary arterial tree compromising pulmonary circulation, causing severe hypoxia and sudden cardiac death, thus rendering their immediate treatment mandatory (Chapoutot et al., 1996).

The presence of a mobile RiHT, however, renders optimal treatment significantly different than anticoagulation alone, which is the standard treatment in uncomplicated PE (Torbicki et al., 2003). Recommendations for mobile RiHT treatment include fibrinolytic therapy and surgical pulmonary embolectomy. Current European Society of Cardiology (ESC) guidelines indicate systemic fibrinolysis as the treatment of choice for patients with PE and hemodynamic instability, leaving surgical embolectomy as an alternative in case fibrinolysis is absolutely contraindicated or has failed to improve hemodynamic status (Konstantinides et al., 2014). Furthermore, in 2018, Burgos et al. published a systematic review comparing the treatment strategies for patients with free-floating RiHT, reaching to the conclusion that fibrinolysis and surgical embolectomy show similar results and that the treatment of choice relies on proper individualization of the risks and benefits of both techniques. Of note, although novel anticoagulants (NOACs) are a convenient choice for long term, orally administered anticoagulation in patients with uncomplicated PE, patients who received fibrinolysis were excluded from relevant studies, leaving vitamin K antagonists (warfarin) as the only evidence-based choice for orally administered therapy in this specific population (Schulman et al., 2009, 2014; Buller et al., 2012; Agnelli et al., 2013).

Conclusion

This case demonstrates the value of bedside echocardiography in diagnostic assessment of hemodynamically compromised patients. Furthermore, acute massive PE should be a diagnosis to consider in patients presenting with hemodynamic instability. Finally, echocardiographic visualization of a mobile RiHT is a rather rare finding associated with high mortality. Thus, prompt treatment, either with fibrinolysis or with surgical thrombectomy, can be life-saving.

References

- Agnelli, G., Buller, H. R., Cohen, A., Curto, M., Gallus, A. S., Johnson, M., Masiukiewicz, U., Pak, R., Thompson, J., Raskob, G. E., Weitz, J. I. (2013) Oral apixaban for the treatment of acute venous thromboembolism. *N. Engl. J. Med.* **369(9)**, 799–808.
- Buller, H. R., Prins, M. H., Lensin, A. W., Decousus, H., Jacobson, B. F., Minar, E., Chlumsky, J., Verhamme, P., Wells, P., Agnelli, G., Cohen, A., Berkowitz, S. D., Bounameaux, H., Davidson, B. L., Misselwitz, F., Gallus, A. S., Raskob, G. E., Schellong, S., Segers, A. (2012) Oral rivaroxaban for the treatment of symptomatic pulmonary embolism. *N. Engl. J. Med.* **366(14)**, 1287–1297.
- Burgos, L. M., Costabel, J. P., Galizia Brito, V., Sigal, A., Maymo, D., Iribarren, A., Trivi, M. (2018) Floating right heart thrombi: A pooled analysis of cases reported over the past 10 years. *Am. J. Emerg. Med.* **36(6)**, 911–915.
- Chapoutot, L., Nazeyrollas, P., Metz, D., Maes, D., Maillier, B., Jennesseaux, C., Elaerts, J. (1996) Floating right heart thrombi and pulmonary embolism: Diagnosis, outcome and therapeutic management. *Cardiology* **87(2)**, 169–174.
- Cohen, A. T., Agnelli, G., Anderson, F. A., Arcelus, J. I., Bergqvist, D., Brecht, J. G., Greer, I. A., Heit, J. A., Hutchinson, J. L., Kakkar, A. K., Mottier, D., Oger, E., Samama, M. M., Spanagl, M. (2007) Venous thromboembolism (VTE) in Europe. The number of VTE events and associated morbidity and mortality. *Thromb. Haemost.* **98(4)**, 756–764.
- Giordano, N. J., Jansson, P. S., Young, M. N., Hagan, K. A., Kabrhel, K. (2017) Epidemiology, pathophysiology, stratification, and natural history of pulmonary embolism. *Tech. Vasc. Interv. Radiol.* **20(3)**, 135–140.
- Huang, W., Goldberg, R. J., Anderson, F. A., Kiefe, C. I., Spencer, F. A. (2014) Secular trends in occurrence of acute venous thromboembolism: The Worcester VTE study (1985–2009). *Am. J. Med.* **127(9)**, 829–839.
- Koc, M., Kostrubiec, M., Elikowski, W., Meneveau, N., Lankeit, M., Grifoni, S., Kuch-Wocial, A., Petris, A., Zaborska, B., Stefanović, B. S., Hugues, T., Torbicki, A., Konstantinides, S., Pruszczyk, P. (2016) Outcome of patients with right heart thrombi: The right heart thrombi European registry. *Eur. Respir. J.* **47(3)**, 869–875.
- Konstantinides, S. V., Torbicki, A., Agnelli, G., Danchin, N., Fitzmaurice, D., Galiè, N., Gibbs, J. S., Huisman, M. V., Humbert, M., Kucher, N., Lang, I., Lankeit, M., Lekakis, J., Maack, C., Mayer, E., Meneveau, N., Perrier, A., Pruszczyk, P., Rasmussen, L. H., Schindler, T. H., Svitil, P., Vonk Noordegraaf, A., Zamorano, J. L., Zompatori, M. (2014) 2014 ESC guidelines on the diagnosis and management of acute pulmonary embolism: The task force for the diagnosis and management of acute pulmonary embolism of the European Society of Cardiology (ESC). *Eur. Heart J.* **35(43)**, 3033–3069.
- Martinez, C., Cohen, A. T., Bamber, L., Rietbrock, S. (2014) Epidemiology of first and recurrent venous thromboembolism: A population-based cohort study in patients without active cancer. *Thromb. Haemost.* **112(2)**, 255–263.

- Schulman, S., Kearon, C., Kakkar, A. K., Mismetti, P., Schellong, S., Eriksson, H., Baanstra, D., Schnee, J., Goldhaber, S. Z. (2009) Dabigatran versus warfarin in the treatment of acute venous thromboembolism. *N. Engl. J. Med.* **361(24)**, 2342–2352.
- Schulman, S., Kakkar, A. K., Goldhaber, S. Z., Schellong, S., Eriksson, H., Mismetti, P., Christiansen, A. V., Friedman, J., Le Maulf, F., Peter, N., Kearon, C. (2014) Treatment of acute venous thromboembolism with dabigatran or warfarin and pooled analysis. *Circulation* **129(7)**, 764–772.
- Torbicki, A., Galie, N., Covezzoli, A., Rossi, E., De Rosa, M., Goldhaber, S. Z. (2003) Right heart thrombi in pulmonary embolism: Results from the international cooperative pulmonary embolism registry. *J. Am. Coll. Cardiol.* **41(12)**, 2245–2251.

Spinal Cord Tethering, a Very Rare Cause of Cauda Equina Syndrome after Lumbar Disk Surgery: A Case Report

Alireza Tabibkhoei¹, Farid Kazemi¹, Foad Kazemi¹, Morteza Taheri²

¹Department of Neurosurgery, Iran University of Medical Sciences, Rasool Akram Hospital, Tehran, Iran;

²Department of Neurosurgery, Iran University of Medical Sciences, 7Tir Hospital, Tehran, Iran

Received November 30, 2019; Accepted February 17, 2020.

Key words: Tethered cord syndrome – Cauda equina syndrome – Lumbar disc – Surgical complication

Abstract: Tethered cord syndrome (TCS) may rarely remain asymptomatic until degenerative or nondegenerative lumbar diseases superimpose in adulthood and expose the hidden anomaly. In such cases, different treatment options can be selected and simultaneous detethering might be considered too. We are reporting an undiscovered TCS in a young lady who underwent lumbar discectomy due to symptomatic disk extrusion and suffered complete cauda equina syndrome (CES), postoperatively.

Mailing Address: Morteza Taheri, MD., Department of Neurosurgery, Iran University of Medical Sciences, 7Tir Hospital, Tehran, Iran;
Phones: +989 120 194 908, +982 166 503 890; Fax: +982 166 509 120;
e-mail: drtaheri38@yahoo.com

<https://doi.org/10.14712/23362936.2020.5>

© 2020 The Authors. This is an open-access article distributed under the terms of the Creative Commons Attribution License (<http://creativecommons.org/licenses/by/4.0>).

Introduction

In 95% of the general population, the spinal cord normally ends above or at the level of inferior aspect L2 vertebral body (Srinivas et al., 2012). Some pathologies such as thickened filum terminale, or a lipomatous lesion cause to the cord ends at the level below than L2 and result in low-lying tethered cord. In childhood, during the growth of the spine, longitudinal traction on the tethered cord can result in a neurological impairment named tethered cord syndrome (TCS). Rarely, the patients remain asymptomatic to adulthood. In some of these patients, precipitating factors such as trauma or spinal degenerative conditions exacerbate neurological symptoms, and patients can present with tethered cord syndrome (Srinivas et al., 2012; Carpineta et al., 2017). On the other hand, TCS is an error of spinal cord developmental process which could show neurological signs and symptoms in childhood or adulthood due to tension on conus medullaris (Lapsiwala and Iskandar, 2004; Yamada et al., 2004).

Injury or irritation of distal lumbosacral roots in cauda equina may lead to a complex syndrome of sensory, motor, and sphincter disturbances which named cauda equina syndrome (CES). CES or horsetail syndrome is a clinically important and troublesome condition and rarely occurs following lumbar spine surgery (Duncan and Bailey, 2011). We are going to report a case of postoperative CES in a patient with a symptomatic extruded disc with undiagnosed TCS. We report the clinical scenario and will also discuss the possible mechanisms of postoperative complications.

Case report

A 32-years-old healthy woman referred us due to intractable bilateral L5 and S1 radicular pain, mild to moderate low back pain, and feet paresthesia since three months ago. She hadn't any sphincter problems such as frequency, urgency or incontinence. Physical examination revealed positive bilateral Lasegue's sign, no motor or sensory deficit, and no upper motor neuron sign. On magnetic resonance imaging (MRI), we noted a voluminous extruded disk at L4/L5 level with significant compression on thecal sac (Figure 1).

The patient scheduled for lumbar discectomy. During surgery after L4 coronal hemilaminectomy and bilateral foraminotomy, we found bilateral conjoined roots; and discectomy was performed with gentle retraction of exiting roots without any intraoperative complication. Just postoperatively, we faced with a CES for which we didn't have any explanation (except for intraoperative trauma to nerve roots secondary to the aforementioned abnormality). The patient presented with urinary retention and saddle anesthesia without any motor deficit even in S1 myotomes.

On postoperative lumbosacral MRI, thecal sac and neural elements were decompressed, but unexpectedly we detected cord tethering and a low lying conus at L4/L5 level (Figure 2). Reviewing the preoperative images revealed that this

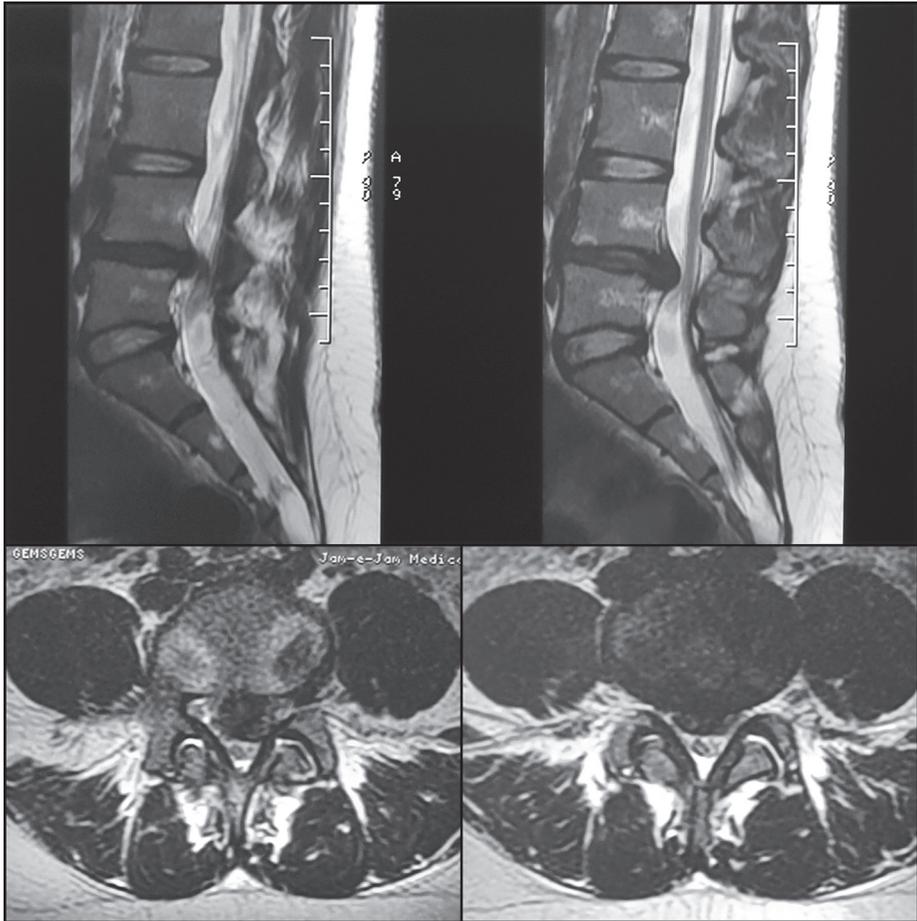


Figure 1 – Preoperative T2 weighted sagittal and axial magnetic resonance imaging of lumbar spine showing bulky disk extrusion at L4/L5 level compressing conus medullaris of the tethered cord and exiting roots.

pathology sneaked due to bolded herniated disk. So, a revision surgery for cord untethering offered to the patient but she refused.

Alternative conservative treatments such as steroids, anticholinergics and physical therapies for pelvic floor muscles failed and electrodiagnostic studies showed severe injury to S2 to S4 roots. On the last follow-up, one year after surgery, the patient revealed no significant improvement.

Discussion

TCS was described the last three decades and was previously known as an only pediatric defect; now, however, there is good evidence on the occurrence of tethered cord in adults and although rare is more common than previously thought

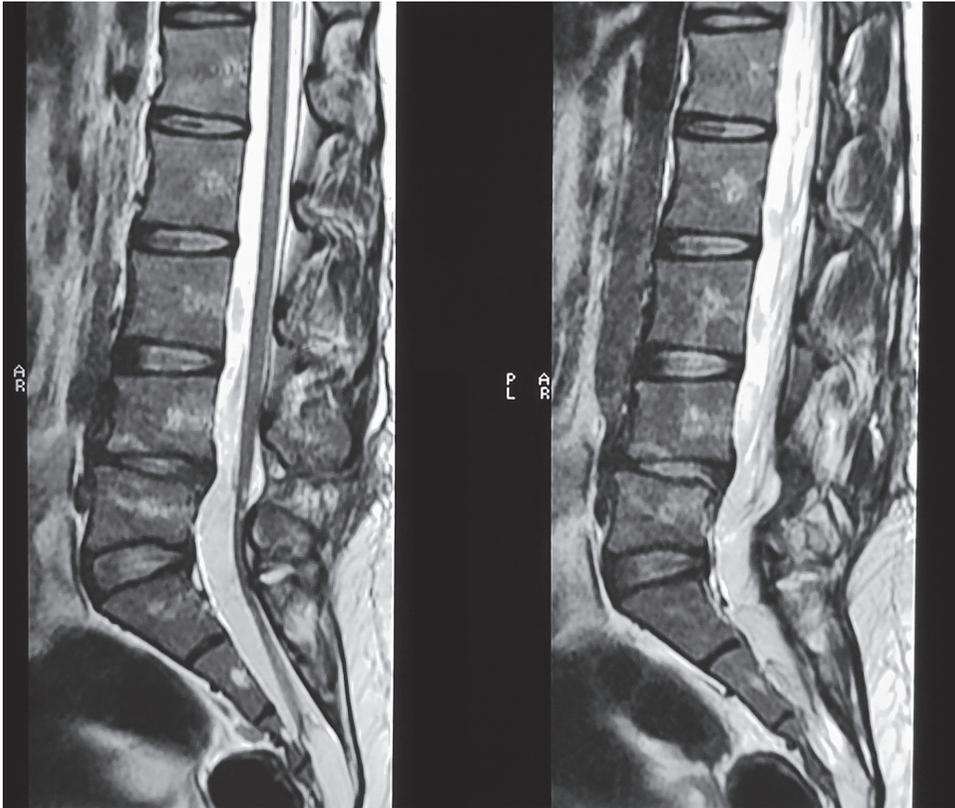


Figure 2 – Postoperative sagittal magnetic resonance imaging revealed tethered cord syndrome and a small area of hypersignality in conus medullaris on T2 weighted lumbar spine images.

(Srinivas et al., 2012). In most of these cases, precipitating factors such as trauma (trauma during surgery could be considered as precipitating factor in our case) or rarely spinal degeneration such as disk diseases, initiate or exacerbate symptoms and deficits (Ahn et al., 2000; Gleave and Macfarlane, 2002; Srinivas et al., 2012). Management of adult-onset tethered cord syndrome is controversial and choosing surgery is debated in literature till now, although the necessity of surgery as the main choice in pediatric cases is well-established (Iskandar et al., 2001; Kang et al., 2003; Aufschneider et al., 2008). It has been shown in studies that after a precipitating factor such as coughing, bending, or strenuous physical activity, all as a mechanical longitudinal traction, some symptoms may become apparent that could mimic symptoms of lumbar disc disease (maybe as was seen in our case) or spinal stenosis, but true radicular pain is rare (Srinivas et al., 2012).

The incidence of postoperative cauda equina syndrome is between 0.02 to 1% in different studies (Henriques et al., 2001). In the majority of cases, the cause is

retained disk fragment, gelfoam, excessive tension on dural sac during discectomy, epidural abscess, placement of a free epidural fat graft, vascular insufficiency and very rarely dural sac shift and venous congestion (Henriques et al., 2001; Maki et al., 2017). Our case presents postoperative CES as a complication of lumbar discectomy for spinal disc protrusion in a patient with an unknown tethered cord till adulthood. Theoretically, the cause of postoperative CES after that surgery could be the result of tension on sacral nerve roots and the tethered cord. In such cases, motor deficit is almost always present and its return signals a better prognosis (Ahn et al., 2000; Jensen, 2004) in contrast to what happened to our patient.

Reviewing the preoperative MRI sequences demonstrated low lying conus and tethered cord, but the massive disk herniation misled us and obscured coexisting TCS, so the surgical technique was chosen as a routine lower lumbar discectomy procedure. Surely, these special cases should be managed as a thoracic disc herniation. In this case, decompression of neural elements must be performed with minimal traction on the dural sac, for example using transfacet or even anterolateral approaches (Endo et al., 2014; Carpineta et al., 2017). Moreover, intraoperative neuromonitoring could be used before the surgery, if the diagnosis of TCS would be made preoperative. We think it could be helpful for the avoidance of neurological complications; although neuromonitoring findings are not always predictive (Cole et al., 2014).

Although untethering procedure during or after the decompressive surgery in such cases is still in question, especially if patients symptoms could be attributed only to herniated disk and nerve root compression (Endo et al., 2014; Carpineta et al., 2017); it seems that untethering in our case after clarifying underlying disease should be advisable. However, a bright signal on T2 weighted images on postoperative MRI in conus medullaris points to concomitant traumatic contusion within the terminal of cord and poor prognosis for any further treatment (Ramon et al., 1997).

Conclusion

Although TCS is a rare incidental finding in adult patients, it should be searched in imaging of any patient whose main problem in lumbar spine is a different pathology. In such cases diagnostic and therapeutic measures would be remarkably different too.

References

- Ahn, U. M., Ahn, N. U., Buchowski, J. M., Garrett, E. S., Sieber, A. N., Kostuik, J. P. (2000) Cauda equina syndrome secondary to lumbar disc herniation: a meta-analysis of surgical outcomes. *Spine (Phila. Pa. 1976)* **25(12)**, 1515–1522.
- Aufschnaiter, K., Fellner, F., Wurm, G. (2008) Surgery in adult onset tethered cord syndrome (ATCS): review of literature on occasion of an exceptional case. *Neurosurg. Rev.* **31(4)**, 371–383; discussion 384.
- Carpineta, E., Roperto, R., Cacciotti, G., Mastronardi, L. (2017) Tethered spinal cord syndrome with lumbar segmental stenosis treated with XLIF. *Interdiscip. Neurosurg.* **9**, 68–70.

- Cole, T., Veeravagu, A., Zhang, M., Li, A., Ratliff, J. K. (2014) Intraoperative neuromonitoring in single-level spinal procedures: A retrospective propensity score-matched analysis in a national longitudinal database. *Spine (Phila. Pa. 1976)* **39(23)**, 1950–1959.
- Duncan, J. W., Bailey, R. A. (2011) Cauda equina syndrome following decompression for spinal stenosis. *Global Spine J.* **1(1)**, 15–18.
- Endo, F., Iizuka, H., Iizuka, Y., Kobayashi, R., Mieda, T., Takagishi, K. (2014) Myelopathy due to lumbar disc herniation in the presence of a tethered cord. *Spinal Cord* **52**, S11–S13 (Suppl. 1).
- Gleave, J. R., Macfarlane, R. (2002) Cauda equina syndrome: What is the relationship between timing of surgery and outcome? *Br. J. Neurosurg.* **16(4)**, 325–328.
- Henriques, T., Olerud, C., Petren-Mallmin, M., Ahl, T. (2001) Cauda equina syndrome as a postoperative complication in five patients operated for lumbar disc herniation. *Spine (Phila. Pa. 1976)* **26(3)**, 293–297.
- Iskandar, B. J., Fulmer, B. B., Hadley, M. N., Oakes, W. J. (2001) Congenital tethered spinal cord syndrome in adults. *Neurosurg. Focus* **10(1)**, e7.
- Jensen, R. L. (2004) Cauda equina syndrome as a postoperative complication of lumbar spine surgery. *Neurosurg. Focus* **16(6)**, e7.
- Kang, J. K., Lee, K. S., Jeun, S. S., Lee, I. W., Kim, M. C. (2003) Role of surgery for maintaining urological function and prevention of retethering in the treatment of lipomeningomyelocele: experience recorded in 75 lipomeningomyelocele patients. *Childs Nerv. Syst.* **19(1)**, 23–29.
- Lapsiwala, S. B., Iskandar, B. J. (2004) The tethered cord syndrome in adults with spina bifida occulta. *Neurol. Res.* **26(7)**, 735–740.
- Maki, Y., Takayama, M., Hayashi, H., Yokoyama, Y., Agawa, Y. (2017) Cauda equina syndrome due to dural sac shift with engorgement of the epidural venous plexus. Rare complication after lumbar microdiscectomy. *World Neurosurg.* **104**, 1048.e15–1048.e18.
- Ramon, S., Domínguez, R., Ramírez, L., Paraira, M., Olona, M., Castello, T., Garcia Fernández, L. (1997) Clinical and magnetic resonance imaging correlation in acute spinal cord injury. *Spinal Cord* **35(10)**, 664–673.
- Srinivas, S., Shetty, R., Collins, I. (2012) Symptomatic lumbar disc protrusion causing progressive myelopathy in a low-lying cord. *Global Spine J.* **2(2)**, 115–118.
- Yamada, S., Knerium, D. S., Mandybur, G. M., Schultz, R. L., Yamada, B. S. (2004) Pathophysiology of tethered cord syndrome and other complex factors. *Neurol. Res.* **26(7)**, 722–726.

Instructions to Authors

Prague Medical Report is an English multidisciplinary biomedical journal published quarterly by the First Faculty of Medicine of the Charles University. Prague Medical Report (Prague Med Rep) is indexed and abstracted by Index-medicus, MEDLINE, PubMed, CNKI, DOAJ, EBSCO, and Scopus.

Articles issued in the journal

- a) Primary scientific studies on the medical topics (not exceeding 30 pages in standardized A4 format – i.e. 30 lines and 60–65 characters per line – including tables, graphs or illustrations)
- b) Short communications
- c) Case reports
- d) Reviews
- e) Lectures or discourses of great interest
- f) Information about activities of the First Faculty of Medicine and other associated medical or biological organizations

Layout of the manuscript

- a) Title of the study (brief and concise, without abbreviations)
- b) Information about the author(s) in the following form:
 - first name and surname of the author(s) (without scientific titles)
 - institution(s) represented by the author(s)
 - full corresponding (mailing) author's reference address (including first name, surname and scientific titles, postal code, phone/fax number and e-mail)
- c) Abstract (maximum 250 words)
- d) Key words (4–6 terms)
- e) Running title (reduced title of the article that will appear at the footer (page break), not more than 50 typewritten characters including spaces)
- f) Introduction
 - The use of abbreviations should be restricted to SI symbols and those recommended by the IUPAC-IUB. Abbreviations should be defined in brackets on first appearance in the text. Standard units of measurements and chemical symbols of elements may be used without definition.
- g) Material and Methods
- h) Results
- i) Discussion

j) Conclusion

k) References

- All the sources of relevant information for the study should be cited in the text (citations such as “personal communication” or “confidential data” are not accepted).
 - It is not permitted to cite any abstract in the References list.
 - References should be listed alphabetically at the end of the paper and typed double-spaced on separate pages. First and last page numbers must be given. Journal names should be abbreviated according to the Chemical Abstract Service Source Index. All co-authors should be listed in each reference (et al. cannot be used).
 - Examples of the style to be used are:
 Yokoyama, K., Gachelin, G. (1991) An Abnormal signal transduction pathway in CD4–CD8– double-negative lymph node cells of MRL *lpr/lpr* mice. *Eur. J. Immunol.* **21**, 2987–2992.
 Loyd, D., Poole, R. K., Edwards, S. W. (1992) *The Cell Division Cycle. Temporal Organization and Control of Cellular Growth and Reproduction.* Academic Press, London.
 Teich, N. (1984) Taxonomy of retroviruses. In: *RNA Tumor Viruses*, eds. Weiss, R., Teich, N., Varmus, H., Coffin, J., pp. 25–207, Cold Spring Harbor Laboratory, Cold Spring Harbor, New York.
- References in the text should be cited as follows: two authors, Smith and Brown (1984) or (Smith and Brown, 1984); three or more authors, Smith et al. (1984) or (Smith et al., 1984). Reference to papers by the same author(s) in the same year should be distinguished in the text and in the reference list by lower-case letters, e.g. 1980a, or 1980a, b.

l) tables, figures, illustrations, graphs, diagrams, photographs, etc. (incl. legends)

Technical instructions

- a) Manuscripts (in UK English only) must be delivered in the electronic form via Online Manuscript Submission and Tracking system (<http://www.praguemedicalreport.org/>). In case of problems, contact the Prague Medical Report Office (medical.report@lf1.cuni.cz). The online submission has to include the complete version of the article in PDF format, separately the manuscript as a MS Word file and a cover letter. The detailed version of the Instructions to Authors can be found at: http://www.praguemedicalreport.org/download/instructions_to_authors.pdf.
- b) Text should be written in MS WORD only. We accept only documents that have been spell-checked with UK English as a default language.
- c) Please, write your text in Times New Roman script, size 12, and line spacing 1.5.
- d) Text should be justified to the left, with no paragraph indent (use Enter key only); do not centre any headings or subheadings.

- e) Document must be paginated-numbered beginning with the title page.
- f) Tables and graphs should represent extra files, and must be paginated too.
- g) Edit tables in the following way: Make a plain text, indent by Tab (arrow key) all the data belonging to a line and finish the line by Enter key. For all the notes in table, use letter x, not *.
- h) Make your graphs only in black-and-white. Deliver them in electronic form in TIFF or JPG format only.
- i) Deliver illustrations and pictures (in black-and-white) in TIFF or JPG format only. The coloured print is possible and paid after agreement with the Prague Medical Report Office.
- j) Mark all the pictures with numbers; corresponding legend(s) should be delivered in an extra file. Mark the position of every picture (photo) in the manuscript by the corresponding number, keep the order 1, 2, 3...

Authors' Declaration

The corresponding (or first author) of the manuscript must print, fill and sign by his/her own hand the Authors Declaration and fax it (or send by post) to the Prague Medical Report Office. Manuscript without this Declaration cannot be published.

The Authors' Declaration can be found by visiting our web pages:

<http://pmr.lf1.cuni.cz> or web pages of Prague Medical Report Online Manuscript Submission and Tracking system: <http://www.praguemedicalreport.org/>.

Editorial procedure

Each manuscript is evaluated by the editorial board and by a standard referee (at least two expert reviews are required). After the assessment the author is informed about the result. In the case the referee requires major revision of the manuscript, it will be sent back to the author to make the changes. The final version of the manuscript undergoes language revision and together with other manuscripts, it is processed for printing.

Concurrently, proofs are electronically sent (in PDF format) to the corresponding (mailing) author. Author is to make the proofs in PDF paper copy and deliver it back to the editorial office by fax or as a scanned file by e-mail. Everything should be done in the required time. Only corrections of serious errors, grammatical mistakes and misprints can be accepted. More extensive changes of the manuscript, inscriptions or overwriting cannot be accepted and will be disregarded. Proofs that are not delivered back in time cannot be accepted.

Article processing charge

Authors do not pay any article processing charge.

Open Access Statement

This is an open access journal which means that all content is freely available without charge to the user or his/her institution. Users are allowed to read, download, copy,

distribute, print, search, or link to the full texts of the articles, or use them for any other lawful purpose, without asking prior permission from the publisher or the author. This is in accordance with the BOAI definition of open access.

Copyright Statement

The journal applies the Creative Commons Attribution 4.0 International License to articles and other works we publish. If you submit your paper for publication by Prague Medical Report, you agree to have the CC BY license applied to your work. The journal allows the author(s) to hold the copyright without restrictions.

Editorial Office

Prague Medical Report

Kateřinská 32, 121 08 Prague 2, Czech Republic

e-mail: medical.report@lf1.cuni.cz

Phone: +420 224 964 570. Fax: +420 224 964 574

Prague Medical REPORT

(Sborník lékařský)

Published by the First Faculty of Medicine, Charles University, Karolinum Press,
Ovocný trh 560/5, 116 36 Praha 1 – Staré Město, Czech Republic, www.karolinum.cz

Editorial Office: Prague Medical Report, Kateřinská 32, 121 08 Prague 2, Czech Republic,
Phone: +420 224 964 570, Fax: +420 224 964 574,

e-mail: medical.report@lf1.cuni.cz

Editor in Chief: Kateřina Jandová, MD., PhD.

Editor: Assoc. Prof. Jan Šváb, MD., PhD.

Foreign Language Editor: Prof. Jaroslav Pokorný, MD., DSc.

Executive Editors: Mgr. Jiří Frühauf, Mgr. Lucie Šulcová

Editorial Board: Prof. Jan Betka, MD., DSc.; Zdeněk Kostrouch, MD., PhD.;

Prof. Emanuel Nečas, MD., DSc.; Prof. František Perlík, MD., DSc.;

Prof. Karel Smetana, MD., DSc.; Prof. Karel Šonka, MD., DSc.;

Assoc. Prof. Jan Tošovský, MD., PhD.; Prof. Jiří Zeman, MD., DSc.

Published as quarterly journal. Typeset and printed by Karolinum Press.

Annual subscription (4 issues) EUR 60,-. Single copy EUR 20,-.

Distribution: Karolinum Press, Ovocný trh 560/5,

116 36 Praha 1, Czech Republic, e-mail: journals@karolinum.cz

ISSN 1214-6994 (Print)

ISSN 2336-2936 (Online)

Reg. No. MK ČR E 796